

 ECMS

Final Release Note

 RN-43.10.14.8.15

|  |  |
| --- | --- |
|  |  |
|  |  |
|  |   |
|   |  |
|   |   |
|   |  |

 

**Content**

1 Introduction 3

1.1 Release number and software version 3

2 Release information 4

2.1 Release content 4

2.1.1 Change requests 4

2.1.2 Defect information 5

3 Additional Information 11

3.1 XSD version 11

3.2 Message usage guidelines 12

3.3 Non-iso files 14

3.4 Restrictions 15

3.5 Corporate Action Events 22

#

# Introduction

This note provides an overview of the envisaged features for the release to be provided on **24/05/2025**.

## Release number and software version

This release has the following release number: **RN-43.10.14.8.15**

The relevant software version is **V.14.8.15**

# Release information

### Change requests

### Defect information

This release provides the fixes for the 8 following Problems:

| **PBI** | **Category** | **Summary** | **User** |
| --- | --- | --- | --- |
| 167162 | ECMS.Application.Credit Claims | CC files in “failed” status with no possibility to recycle/resume -Encountered error while running valuation:could not execute statement | FR |
| 166901 | ECMS.Technical.Other | [CBT] [PURGE PROCESS] [EAC] Data Purge – Collateral and Credit - 17 - GLF files were not purged in the latest test campaign | DE |
| 166817 | ECMS.Application.Operational day | [AEAC] [ Purge Process ] Calculation of the concentration limit task failed for FRCB tenant - Task Calculation of the concentration limit task failed du to Purge of tradable asset | OT |
| 166581 | ECMS.Application.Credit Claims | [EAC] [Purging Process] - [Credit Claims] - ECMS purging Valid Ratings | ECB |
| 166371 | ECMS.Application.Operational day | [APPR] :[C2D/UC]: The task <Sending UC Initial File (daily)> failed | OT |
| 155770 | ECMS.Application.Global credit and collateral position | [EAC] The event recheck collateral insufficiency should not create a pool position | IT  |

### Additional enhacements

# Additional Information

The regression risk for this release is considered low according to regression tests performed in IAC and the analysis done by the support teams. See the section restriction 3.4.2 for more details.

## XSD version

The following principles and rules referring XSD versions apply:

|  |  |  |
| --- | --- | --- |
|  | **Message Version** | **Additionally available Message Version** |
| **Software Version** | ECMS UDFS v 1.2Binding versions published 31/01/202328/05/2023 | n/a |
| **MyStandards**  | ECMS UDFS v 1.2Binding versions published 31/01/202328/05/2023 | n/a |

Links to MyStandards message usage guidelines are available under the dedicated section in 3.2.

## Message usage guidelines

| **Message Idr** | **Message Name** | **MyStandards Link**  |
| --- | --- | --- |
| admi.005.001.01 | ReportQueryRequest | <https://www2.swift.com/mystandards/#/mp/mx/_cpPN-54eEe2_HrtFlPkgmg/_cpPN_J4eEe2_HrtFlPkgmg/!content> |
| admi.007.001.01 | ReceiptAcknowledgement | https://www2.swift.com/mystandards/#/mp/mx/\_cpPN-54eEe2\_HrtFlPkgmg/\_cpPN\_Z4eEe2\_HrtFlPkgmg/!content |
| camt.004.001.08 | Return Account (AML) | https://www2.swift.com/mystandards/#/mp/mx/\_JqeaEGV0EeiVZ9TevhqGpw/\_PuQDMw4TEeuC29xszeiKFA!content |
| camt.011.001.07 | Modify Limit (update Credit Line) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVm8BEe2mUZI\_ykUOqg/!content |
| camt.019.001.07 | Return Business Day Information | https://www2.swift.com/mystandards/#/mp/mx/\_-F77ALUMEem3D\_kns4F02w/\_QCsyITMTEeupe-EX861w5Q!content |
| camt.025.001.05 | Receipt (Status on Credit Freezing Update, Modify Limit, Externally Managed Collateral) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUm8BEe2mUZI\_ykUOqg/!content |
| camt.029.001.09 | Resolution of Investigation (Status on Cancellation of Payment) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyU28BEe2mUZI\_ykUOqg/!content |
| camt.036.001.05 | DebitAuthorisationResponse | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUW8BEe2mUZI\_ykUOqg/!content |
| camt.050.001.05 | Providing Liquidity to ECONS II | <https://www2.swift.com/mystandards/#/mp/mx/_CvIYkGflEeiHpY3ZWojbGQ/_6CqRoR2UEem4Eo9C5uuzUw!content> |
| camt.054.001.08 | BankToCustomerDebitCreditNotification |  |
| camt.056.001.08 | Payment Cancellation Request  | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVG8BEe2mUZI\_ykUOqg/!content |
| camt.077.001.01 | Billing Report | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_SJB9gG8CEe2EhuLuaqiVkw/!content |
| camt.998.001.03 | Credit Freezing Update | https://www2.swift.com/mystandards/#/mp/mx/\_e574t54zEe2\_HrtFlPkgmg/\_e574uJ4zEe2\_HrtFlPkgmg/!content |
| camt.998.001.03 | Modify Credit Line | https://www2.swift.com/mystandards/#/mp/mx/\_Q8zp0LKEEeiksOjtS2t1cA/\_WQ3kcbKEEeiksOjtS2t1cA!content |
| colr.003.001.04 | Margin Call Request | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllp4zEe23Lve-6NzfEg/!content |
| colr.016.001.04 | Pool Position Report | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllZ4zEe23Lve-6NzfEg/!content |
| colr.019.001.01 | TripartyCollateralTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEZ4ZEe2\_HrtFlPkgmg!content |
| colr.020.001.01 | TripartyCollateralStatusAndProcessingAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEp4ZEe2\_HrtFlPkgmg!content |
| colr.021.001.01 | TripartyCollateralAllegementNotification | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PE54ZEe2\_HrtFlPkgmg!content |
| colr.022.001.01 | TripartyCollateralAndExposureReport | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFJ4ZEe2\_HrtFlPkgmg!content |
| colr.024.001.01 | TripartyCollateralAllegementNotificationCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFZ4ZEe2\_HrtFlPkgmg!content |
| head.001.001.01 | BusinessApplicationHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GJ4bEe23Lve-6NzfEg/!content |
| head.002.001.01 | BusinessFileHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GZ4bEe23Lve-6NzfEg/!content |
| pacs.002.001.10 | Payment Status Report | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemY54gEe23Lve-6NzfEg/!content |
| pacs.009.001.08 | Financial Institution Credit Transfer | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYp4gEe23Lve-6NzfEg/!content |
| pacs.010.001.03 | Financial Institution Direct Debit | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYZ4gEe23Lve-6NzfEg/!content |
| reda.024.001.01 | CollateralValueCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_OlkM4JB1Eeul5tJbTfO5mw!content |
| reda.025.001.01 | EligibleSecuritiesCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_547XsaytEeu5TKv36vD2Ig!content |
| reda.027.001.01 | CloseLinkCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_cipy0JB1Eeul5tJbTfO5mw!content |
| reda.028.001.01 | CollateralDataStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_6mVa0ayuEeu5TKv36vD2Ig!content |
| reda.074.001.01 | TripartyCollateralUnilateralRemovalRequest | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFp4ZEe2\_HrtFlPkgmg!content |
| reda.075.001.01 | EligibleSecuritiesDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_4F994JB1Eeul5tJbTfO5mw!content |
| reda.077.001.01 | CloseLinkDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_Yze88JB2Eeul5tJbTfO5mw!content |
| seev.001.001.11 | MeetingNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_d4yNQPcjEe6Xoe\_xKtvjoQ!content |
| seev.031.001.14 [Binding] | CorporateActionNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_\_lyv4PciEe6Xoe\_xKtvjoQ!content |
| seev.032.001.08 [Binding] | CorporateActionEventProcessingStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasQ\_5GEe2Isabat7xeaQ!content |
| seev.033.001.12 [Binding] | CorporateActionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasRP5GEe2Isabat7xeaQ!content |
| seev.034.001.14 [Binding] | CorporateActionInstructionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_PQxEIB2oEe-1O\_AyFpsc3Q!content |
| seev.035.001.15 [Binding] | CorporateActionMovementPreliminaryAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_k6foMPvTEe6YEdYhorsJ1Q!content |
| seev.036.001.15 [Binding] | CorporateActionMovementConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_7TMTEPvUEe6Xoe\_xKtvjoQ!content |
| seev.037.001.15 [Binding] | CorporateActionMovementReversalAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_QRROsPcjEe6Xoe\_xKtvjoQ!content |
| seev.039.001.12 [Binding] | CorporateActionCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTUv5GEe2Isabat7xeaQ!content |
| seev.040.001.12 [Binding] | CorporateActionInstructionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTU\_5GEe2Isabat7xeaQ!content |
| seev.041.001.13 [Binding] | CorporateActionInstructionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVP5GEe2Isabat7xeaQ!content |
| seev.042.001.12 [Binding] | CorporateActionInstructionStatementReport | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_VygSYPv0Ee6YEdYhorsJ1Q!content |
| seev.044.001.12 | CorporateActionMovementPreliminaryAdviceCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVv5GEe2Isabat7xeaQ!content |
| semt.002.001.10 [Binding] | SecuritiesBalanceCustodyReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYeVBEeuhaqnPYdiQhw!content |
| semt.017.001.09 [Binding] | SecuritiesTransactionPostingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZY-VBEeuhaqnPYdiQhw!content |
| semt.018.001.10 [Binding] | SecuritiesTransactionPendingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYuVBEeuhaqnPYdiQhw!content |
| sese.020.001.06 | SecuritiesTransactionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIoDKEeu33vEL3nRjtw!content |
| sese.023.001.09 | SecuritiesSettlementTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIYDKEeu33vEL3nRjtw!content |
| sese.024.001.10 | SecuritiesSettlementTransactionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEYDKEeu33vEL3nRjtw!content |
| sese.025.001.09 | SecuritiesSettlementTransactionConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEoDKEeu33vEL3nRjtw!content |
| sese.027.001.05 | SecuritiesTransactionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIIDKEeu33vEL3nRjtw!content |
| sese.032.001.09 | SecuritiesSettlementTransactionGenerationNotification |  |

## Non-iso files

| **Schema file** | **Schema file version** |
| --- | --- |
| Credit Claim file | Credit\_Claims\_file\_Schema\_20240619.xsd |
| Credit Claim Processing report file | CC\_Processing\_Report\_file\_Schema\_20230417.xsd |
| Externally Managed Collateral file | EMC\_File\_Schema\_20230417.xsd |
| Externally Managed CC file | EM\_CC\_for\_C2D\_UC\_files\_20250523 |
| A2A report for statistical balance of payment | Statistical\_Reporting\_on\_Balance\_of\_Payments\_Report\_updated21082024.xsd |
| ICAS File | ICAS\_files\_20231108.xsd |
| DDF File | DDF\_file\_UDFSv1.3(v0.9) - updated 20032025.xlsx (updated following the incidents INC-161400 and PBI-155063) |
| DTT – Pool SCP xsd | Pool\_Creation\_SCP.xsd |
| Bulk Upload  | Operation\_Interest\_Rate.xsdUS\_Taxation.xsdParty.xsd (Same as for the DDT)Counterparty.xsd (Same as for the DDT) |
| Email\_Address.xsd (Same as for the DDT)BIC\_Address.xsd (Same as for the DDT) |
| Technical address.xsd (Same as for the DDT)Contact\_Detail\_Party.xsd (Same as for the DDT) |
| Alternative\_Code.xsd (Same as for the DDT) |
| Mail \_Address (Same as for the DDT) |
| User.xsd (Same as for the DDT) |
| Settlement\_Possibilities.xsd (Same as for the DDT) |
| External\_Asset\_Account.xsd (Same as for the DDT)Internal\_Asset\_Account.xsd\_ (Same as for the DDT)NCB\_Cash\_Account.xsd\_ (Same as for the DDT) |
| NCB\_Participant\_Cash\_Account.xsd (Same as for the DDT) |
| Type.xsd (Same as for the DDT) |

- Message pagination implemented for EMC File

- Message Pagination implemented for CC file

## Restrictions

### Restrictions coming from previous releases

* Export of ECMS U2A reports is working as dessigned with restriction in PDF format – pending ECMS-WG validation of fields

### New restrictions introduced with this Release

* None

## Corporate Action Events

The below table provides the status details on of each Corporate Action Event:

| **CA Event** | **Impacted flows per Event** |
| --- | --- |
| **Notification** | **Instruct** | **Advise** | **Confirm** | **Reverse** |
| **CA Event ID** | **CA Event name** | **Option** |
| ACTV | Trading Status: Active | MAND-N/A | X |  |  |  |  |
| BIDS | Repurchase Offer/Issuer Bid/Reverse Rights | VOLU-CASH,NOAC,CTEN | X | X | X | X | X |
| BPUT | Put Redemption | VOLU-CASH, NOAC | X | X | X | X | X |
| BRUP | Bankruptcy | MAND-N/A | X |  |  |  |  |
| CAPI | Capitalisation | MAND-N/A | X |  |  |  |  |
| CERT | Non-US TEFRA D Certification | CHOS-QINV,NOQU | X | X |  |  |  |
| CHAN | Change | MAND-N/A,SECU | X |  | X | X | X |
| CONS | Consent | VOLU-CONN,CONY,ABST,NOAC | X | X | X | X | X |
| CONV | Conversion | MAND-SECU, | X |  | X | X | X |
| CONV | Conversion | VOLU-CASE, CASH,SECU, NOAC | X | X | X | X | X |
| CREV | Credit Event | MAND-N/A | X |  |  |  |  |
| DFLT | Bond Default | MAND- N/A | X |  |  |  |  |
| DLST | Trading Status: Delisted | MAND- N/A | X |  |  |  |  |
| DRAW | Drawing | MAND-CASH | X |  | X | X | X |
| DSCL | Disclosure | MAND-CONY | X |  |  |  |  |
| DSCL | Disclosure | VOLU-CONY, CONN, NOAC | X | X | X | X | X |
| DTCH | Dutch Auction | VOLU-CASH, NOAC | X | X | X | X | X |
| EXOF | Exchange | VOLU-SECU,CASH, CASE,CEXC,NOAC | X | X | X | X | X |
| EXOF | Exchange | MAND-SECU | X |  | X | X | X |
| EXOF | Exchange | MAND-CASH | X |  | X | X | X |
| EXOF | Exchange | MAND-CASE | X |  | X | X | X |
| EXOF | Exchange | CHOS-SECU,CASH,CASE | X | X | X | X | X |
| EXTM | Maturity Extension | MAND-N/A, SECU | X |  | X | X | X |
| EXTM | Maturity Extension | CHOS-SECU,MPUT | X | X | X | X | X |
| INCR | Increase in Value | MAND-N/A | X |  |  |  | X |
| INFO | Information | MAND-N/A | X |  |  |  |  |
| INTR | Interest Payment | MAND-CASH | X | X | X | X | X |
| INTR | Interest Payment | CHOS-CASH | X | X | X | X | X |
| LIQU | Liquidation Payment | MAND-CASH | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-SECU | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-CASE | X |  | X | X | X |
| LIQU | Liquidation Payment | CHOS-CASH,SECU, CASE | X | X | X | X | X |
| MCAL | Full Call/Early Redemption | MAND-CASH | X |  | X | X | X |
| PARI | Pari-Passu | MAND-SECU | X |  | X | X | X |
| PCAL | Partial Redemption Without Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| PINK | Payment in Kind | MAND- OTHR, SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-N/A | X |  |  |  |  |
| PPMT | Instalment Call | MAND-SECU | X | X | X | X | X |
| PPMT | Instalment Call | CHOS-SECU,LAPS | X | X | X | X | X |
| PRED | Partial Redemption With Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | CHOS-CASH | X | X | X | X | X |
| REDO | Redenomination | MAND-SECU | X |  | X | X | X |
| REDO | Redenomination | MAND-N/A |  |  |  |  |  |
| REMK | Remarketing Agreement | CHOS-SECU,CASH | X | X | X | X | X |
| RHDI | Intermediate Securities Distribution | MAND-SECU | X |  | X | X | X |
| SUSP | Trading Status: Suspended | MAND-N/A | X |  |  |  |  |
| TEND | Tender/Acquisition/Takeover/Purchase Offer | VOLU-SECU,CASE, CASH,NOAC | X | X | X | X | X |
| WRTH | Worthless | MAND-LAPS | X |  | X | X | X |
| TREC | Tax Reclaim | VOLU-CASH, NOAC | X |  | X | X | X |
| WTRC | Withholding Tax Relief Certification | VOLU-BOBD, NOAC | x | x |  |  |  |