

ECMS

Final Release Note

RN-43.02-14.8.2

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# Introduction

This note provides an overview of the envisaged features for the release to be provided on **22/03/2025**.

## Release number and software version

This release has the following release number: **RN-43.02-14.8.2**

The relevant software version is **V.14.8.2.2**

# Release information

### Change requests

* ECMS-XXXX: [TPA CR to be drafted] - Processing of colr.022 (report on flow) messages which contain several tranches of the same ISIN

### Defect information

This release provides the fixes for the 114 following Problems:

| **PBI** | **Category** | **Summary** | **User** |
| --- | --- | --- | --- |
| 131244 | ECMS.Application.Billing | [EAC] Billing issue between different NCB | IT |
| 133487 | ECMS.Application.OMO | [EAC][OMO] T Receive CLM rejection on Credit line Initiation (generated on the First Night Time cycle mandatory bundle) - only the main CL should be eligible to resubmit Instruction. | IE |
| 143425 | ECMS.Application.Pool Position and pool projection | [EAC][CoA impacts on C2D UC][PCAL] the PCAL collateral notif should contain the Placeofsafekeeping | ES |
| 146700 | ECMS.Application.Statements and reporting | [APPR] Empty result in the screen concentration limit check report - Concentration Limit was not applied for GG2 and GG5 with guarantee assessment “not acceptable”. | DE |
| 149584 | ECMS.Application.Contingency | [AEAC] Zero Credit Freezing Delta instruction sent to ECONS | AT |
| 150248 | ECMS.Application.MultiPooling | [Multipooling]: MA transfer should not include a credit line update | DE |
| 150930 | ECMS.Application.Credit Claims | [Credit Claims] - CC File rejected with business validation errors but the linked instructions remains received , should be updated to Rejected | AT |
| 151348 | ECMS.Application.OMO | [TOP] APPR OMO in currency - Rounding issue in provisional and daily interest | SK |
| 153030 | ECMS.Application.U2A screens | CA Payment Report improvements | DE |
| 154278 | ECMS.Application.FTDs | Conversion FTD in case of collateral insufficiency is not allowed by the system | IT |
| 155631 | ECMS.Application.Credit Claims | CC-DMOB FAILED : Encountered error(s) while processing demob instructions: query did not return a unique result: 2 | FR |
| 156494 | ECMS.Application.Credit Claims | ECMS is updating the debtor from the received CCR in the same file having same guarantee ref when CC-CCR with same Guarantor same guarantee ref and different debtor is received | NL |
| 156700 | ECMS.Application.Corporate Actions | CA Event not created | FI |
| 157133 | ECMS.Application.Credit Claims | [APPR] FR\_INC\_ECMS\_BFCM\_Failed\_CCFile\_PALM-01363\_PALM-02016 :CC File failed with error :PALM-01363: The field (Debtor) in the class (CreditClaim) with code (FRCMCI250388680) cannot be null | FR |
| 157273 | ECMS.Application.Message subscription | Copy of seev messages sent to the counterparties are not resent to the NCB | EE |
| 157312 | ECMS.Application.Credit Claims | The CC ATGIBA240260176 has interest type FIXED, but in migration file we erreronously entered a value for the Field Resetting period more than year. If FIXED the value must be blank. 1.Migration DTT check must have check in place to avoid migrating thi | AT |
| 157314 | ECMS.Application.MultiPooling | [EAC] Data segregation should be enforced on the screen "Display Credit claim transfer" | IT |
| 157481 | ECMS.Application.Credit Claims | The CC ATGIBA240260176 has interest type FIXED, but in migration file we erreronously entered a value for the Field Resetting period more than year. If FIXED the value must be blank. 1.Migration DTT check must have check in place to avoid migrating thi | AT |
| 157762 | ECMS.Application.Marketable Assets | [PIM] Not possible to reprocess a failed relocation settlement instruction by NCB users | BE |
| 157780 | ECMS.Application.FTDs | [APPR] Netting FTD: Wrong position impact for FTD after Netting Cancellation | DE |
| 157794 | ECMS.Application.Global credit and collateral position | Payment status notification failed - PALM-02061: concurrency when impacting the direct payment | FR |
| 157808 | ECMS.Application.Pool Position and pool projection | [APPR] [Colr.016] Regression on the format of <RptDtAndTm> tag | AT |
| 157836 | ECMS.Application.Reference Data | Close link and exception flag working unexpectedly | DE |
| 157899 | ECMS.Application.Credit Claims | |Credit Claims] -[UHB] -CC-UHB-Counterparty Credit Claim Screen/Counterparty credit Claim Position Screen -> "Edit" et "Delete" buttons are incorrectly displayed | ES |
| 157918 | ECMS.Application.MultiPooling | [EAC] [Multipooling MA] Number of MA positions is limited in the transfer screen | DE |
| 158298 | ECMS.Application.Credit Claims | [APPR] CC File pending completion of the previous file process but no CC File is processing - case multiple CC files with settlement date >BD and Settlement date = BD at the same time | IT |
| 158309 | ECMS.Application.U2A screens | [APPR] ORA-02049 timeout treating larger amount of alerts | LU |
| 158332 | ECMS.Application.OMO | EAC - ER with same settlement date of OMO not netted | DE |
| 158392 | ECMS.Application.Marketable Assets | Party 2 details are lost when validating an instruction draft. | FR |
| 158491 | ECMS.Application.Credit Claims | [APPR] Processing report not sent for confirmed DEMOB instruction | IT |
| 158510 | ECMS.Application.Statements and reporting | Incorrect Settlement Date in semt.017 for a transaction related to a CA event. | LV |
| 158809 | ECMS.Application.Credit Claims | CC-CCU was received to update CCR with new guarantee, CCR was updated with guaratee BUT no Guarantee was created | AT |
| 159044 | ECMS.Application.Corporate Actions | No interest rate information is sent to the counterparties in outgoing seev.035 and seev.036 messages (CA cross events) | AT |
| 159052 | ECMS.Application.Credit Claims | Credit claim file remains in status “Failed” - CC file with missing outstanding amount (which is mandatory) should have been rejected for schema validation error | ECB |
| 159357 | ECMS.Application.U2A screens | [U2A &A2A SCREEN]: the field counterapty is displaying the identifier instead of the RIAD code | PT |
| 159388 | ECMS.Application.Provision of reference Data to T2S and Triparty | Button delete to be removed from screens on T2S and TPA exclusions | DE |
| 159391 | ECMS.Application.U2A screens | Validate / Reject NCB Participant - Tax documentation cannot be edit in the draft screen | DE |
| 159414 | ECMS.Application.U2A screens | Alert wrongly raised when seev.035 REPL received | PT |
| 159607 | ECMS.Application.U2A screens | Identifier column is duplicated in the Marketable Asset Settlement Instructions screen. | IT |
| 159633 | ECMS.Application.Legal Archiving | [LEA] double xml header on XML file sent to LEA entity | OT |
| 159651 | ECMS.Application.Corporate Actions | Seev.001 message is missing in the incoming seev.001 inside the CA Event Display | BE |
| 159682 | ECMS.Application.MultiPooling | [Cash Transfer]: Cash mobilization and Cash demobilization rejected | IT |
|  |  |  |  |
| 159703 | ECMS.Application.Operational day | [EAC][APPR] Close link feed flagged wrongly as “Not processed” to the ECMS operator | OT |
| 159852 | ECMS.Application.Corporate Actions | Seev.035 reversal impacts to CA Event Closed | PT |
| 159855 | ECMS.Application.Corporate Actions | Automatic allocation of entitlement is not done for all clients | IT |
| 160090 | ECMS.Application.Pool Position and pool projection | ELA-automatic rollover did not tacke place | PT |
| 160100 | ECMS.Application.Credit Claims | CC- UHB- actions Bouttons Edit/Delete/Deactivate should be removed , when select one of the available creditors when creating a CCR instruction via U2A | IT |
| 160110 | ECMS.Application.FTDs | [AEAC] FTD - duplicated "OMORefNo" check - Cancelled FTD are not to be considered | ES |
| 160176 | ECMS.Application.OMO | [APPR] For LTRO with manual update of interest rate, the system calculates a wrong average interest rate. | MT |
| 160179 | ECMS.Application.Credit Claims | [APPR] CC File queued pending completion (when cut off time is reached)>> Settlement date not updated + Wrong update of the settlement date is setted to BD-> KO | FR |
| 160196 | ECMS.Application.Cash as collateral | [MIG12] [EAC] Errors: 1) Cash Collateral Position migrated via DTT is creating inconsistencies and triplicated positions. 2) Proccesing of the DTT XML files is getting mixed and creating inconsistencies. | IT |
| 160207 | ECMS.Application.Legal Archiving | NRO not working smoothly | SK |
| 160214 | ECMS.Application.Credit Claims | [EAC] - [Credit Claims]- Business rule BRCCRU21 wrongly triggered - The Business Rule ( BRCCRU21) should be logged only if maturity date is indicated and origination date is indicated. | ECB |
| 160226 | ECMS.Application.MultiPooling | Rejection of Same CPTY Transfer (4EP) does not lead to rejection of the receipt/delivery leg | DE |
| 160297 | ECMS.Application.Global credit and collateral position | SCL, ECL and RCL are not aligned after a Crefit Freezing decrease | IT |
| 160342 | ECMS.Application.Reference Data | The expiration date introduced and validated for 4Eyes users for pools is not being propagated to the ValidityEnd date field in the Pool Overview screen, preventing the closure of dependent objects such as accounts and parties. | DE |
| 160404 | ECMS.Application.Operational day | New changes in the Operational day Setup | DE |
| 160414 | ECMS.Application.Operational day | [AEAC] [Operational Day] Operational Day status is uncorrectly set as Failed Pre Condition blocking - no real effect | OT |
| 160447 | ECMS.Application.Access Rights | [PROD] No DELETE button for admin user in CPTY on the DN by User Configuration screen | HR |
| 160448 | ECMS.Application.Reference Data | [PROD] Search history is blocking the user deletion. | LU |
| 160471 | ECMS.Application.Corporate Actions | APPR No outgoing seev.037 to counterparties on HCB | FR |
| 160473 | ECMS.Application.Contingency | [APPR] Contingency screens on corporate actions to be added to NCB users | IT |
| 160561 | ECMS.Application.Contingency | [EAC] Sequence problem preventing the activation of ECONS and the instruction of CF | HR |
| 160566 | ECMS.Application.Credit Claims | [EAC] CC-CCU does not delete guarantee | AT |
| 160612 | ECMS.Application.Contingency | [EA] [ECONS] For pool not linked to credit line, CF ECONS should be settled if SCL allows it | DE |
| 160677 | ECMS.Application.Pool Position and pool projection | [Operational Day] Task execution by tenant failed in ATCB for the calculation of the concentration limit | OT |
| 160814 | ECMS.Application.Access Rights | [APPR] "Exception & Warning report" screen: Access to be added to roles #44 and #45 | PT |
| 160831 | ECMS.Application.Credit Claims | CC-Two Processing report are generated instead of one | FR |
| 160837 | ECMS.Application.Contingency | Second MA DEMOB Instruction Automatically Released During Contigency | IT |
| 160925 | ECMS.Application.OMO | Margin update causing confirm FX error on Non-Euro OMOs in USD | ES |
| 161014 | ECMS.Application.Marketable Assets | Demobilisation instruction rejected by T2S does not generate an increase in the credit line. | NL |
| 161015 | ECMS.Application.Statements and reporting | [APPR] Statement of transactions not generated | NL |
| 161020 | ECMS.Application.Statements and reporting | Some statement of holdings not properly generated | DE |
| 161039 | ECMS.Application.Marketable Assets | Collateral settlment notification not automatically reprocessed in MegaCustody. | DE |
| 161076 | ECMS.Application.Billing | [Billing] MA Position blocked for CA not considered in Fees calculation | IT |
| 161221 | ECMS.Application.OMO | Initial margin wrongly impacted in the pool -0.01 variation | ES |
| 161450 | ECMS.Application.Message subscription | Message subscription setup in MLend - not possible to suscribe to "camt.998 - Credit Claim Processing Report" | FR |
| 161508 | ECMS.Application.Billing | [APPR][Billing] Add the button "Edit" to the CSD/TPA Invoices Screen | LU |
| 161625 | ECMS.Application.Credit Claims | CC- Duplicated CC File for a counterparty leads to the rejection of both the original and the duplicated files. | FR |
| 161834 | ECMS.Application.A2A interface | Sese023 fail with the following error Caused by java.util.NoSuchElementException | ECB |

### Additional enhacements

Full implementation of Tax refund for spanish ISINs.

CCBM reconciliation of MA positions report has been implemented.

* It can be found in the Reference Data module: *Reports >> Internal Reconciliation Of MA Position*
* The process only runs manually for the time being. The button “*Run Process*” in the search screen generates the report ad-hoc.

# Additional Information

The regression risk for this release is considered low according to regression tests performed in IAC and the analysis done by the support teams. See the section restriction 3.4.2 for more details.

## XSD version

The following principles and rules referring XSD versions apply:

|  |  |  |
| --- | --- | --- |
|  | **Message Version** | **Additionally available Message Version** |
| **Software Version** | ECMS UDFS v 1.2  Binding versions published 31/01/2023  28/05/2023 | n/a |
| **MyStandards** | ECMS UDFS v 1.2  Binding versions published 31/01/2023  28/05/2023 | n/a |

Links to MyStandards message usage guidelines are available under the dedicated section in 3.2.

## Message usage guidelines

| **Message Idr** | **Message Name** | **MyStandards Link** |
| --- | --- | --- |
| admi.005.001.01 | ReportQueryRequest | <https://www2.swift.com/mystandards/#/mp/mx/_cpPN-54eEe2_HrtFlPkgmg/_cpPN_J4eEe2_HrtFlPkgmg/!content> |
| admi.007.001.01 | ReceiptAcknowledgement | https://www2.swift.com/mystandards/#/mp/mx/\_cpPN-54eEe2\_HrtFlPkgmg/\_cpPN\_Z4eEe2\_HrtFlPkgmg/!content |
| camt.004.001.08 | Return Account (AML) | https://www2.swift.com/mystandards/#/mp/mx/\_JqeaEGV0EeiVZ9TevhqGpw/\_PuQDMw4TEeuC29xszeiKFA!content |
| camt.011.001.07 | Modify Limit (update Credit Line) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVm8BEe2mUZI\_ykUOqg/!content |
| camt.019.001.07 | Return Business Day Information | https://www2.swift.com/mystandards/#/mp/mx/\_-F77ALUMEem3D\_kns4F02w/\_QCsyITMTEeupe-EX861w5Q!content |
| camt.025.001.05 | Receipt (Status on Credit Freezing Update, Modify Limit, Externally Managed Collateral) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUm8BEe2mUZI\_ykUOqg/!content |
| camt.029.001.09 | Resolution of Investigation (Status on Cancellation of Payment) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyU28BEe2mUZI\_ykUOqg/!content |
| camt.036.001.05 | DebitAuthorisationResponse | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUW8BEe2mUZI\_ykUOqg/!content |
| camt.050.001.05 | Providing Liquidity to ECONS II | <https://www2.swift.com/mystandards/#/mp/mx/_CvIYkGflEeiHpY3ZWojbGQ/_6CqRoR2UEem4Eo9C5uuzUw!content> |
| camt.054.001.08 | BankToCustomerDebitCreditNotification |  |
| camt.056.001.08 | Payment Cancellation Request | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVG8BEe2mUZI\_ykUOqg/!content |
| camt.077.001.01 | Billing Report | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_SJB9gG8CEe2EhuLuaqiVkw/!content |
| camt.998.001.03 | Credit Freezing Update | https://www2.swift.com/mystandards/#/mp/mx/\_e574t54zEe2\_HrtFlPkgmg/\_e574uJ4zEe2\_HrtFlPkgmg/!content |
| camt.998.001.03 | Modify Credit Line | https://www2.swift.com/mystandards/#/mp/mx/\_Q8zp0LKEEeiksOjtS2t1cA/\_WQ3kcbKEEeiksOjtS2t1cA!content |
| colr.003.001.04 | Margin Call Request | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllp4zEe23Lve-6NzfEg/!content |
| colr.016.001.04 | Pool Position Report | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllZ4zEe23Lve-6NzfEg/!content |
| colr.019.001.01 | TripartyCollateralTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEZ4ZEe2\_HrtFlPkgmg!content |
| colr.020.001.01 | TripartyCollateralStatusAndProcessingAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEp4ZEe2\_HrtFlPkgmg!content |
| colr.021.001.01 | TripartyCollateralAllegementNotification | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PE54ZEe2\_HrtFlPkgmg!content |
| colr.022.001.01 | TripartyCollateralAndExposureReport | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFJ4ZEe2\_HrtFlPkgmg!content |
| colr.024.001.01 | TripartyCollateralAllegementNotificationCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFZ4ZEe2\_HrtFlPkgmg!content |
| head.001.001.01 | BusinessApplicationHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GJ4bEe23Lve-6NzfEg/!content |
| head.002.001.01 | BusinessFileHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GZ4bEe23Lve-6NzfEg/!content |
| pacs.002.001.10 | Payment Status Report | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemY54gEe23Lve-6NzfEg/!content |
| pacs.009.001.08 | Financial Institution Credit Transfer | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYp4gEe23Lve-6NzfEg/!content |
| pacs.010.001.03 | Financial Institution Direct Debit | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYZ4gEe23Lve-6NzfEg/!content |
| reda.024.001.01 | CollateralValueCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_OlkM4JB1Eeul5tJbTfO5mw!content |
| reda.025.001.01 | EligibleSecuritiesCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_547XsaytEeu5TKv36vD2Ig!content |
| reda.027.001.01 | CloseLinkCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_cipy0JB1Eeul5tJbTfO5mw!content |
| reda.028.001.01 | CollateralDataStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_6mVa0ayuEeu5TKv36vD2Ig!content |
| reda.074.001.01 | TripartyCollateralUnilateralRemovalRequest | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFp4ZEe2\_HrtFlPkgmg!content |
| reda.075.001.01 | EligibleSecuritiesDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_4F994JB1Eeul5tJbTfO5mw!content |
| reda.077.001.01 | CloseLinkDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_Yze88JB2Eeul5tJbTfO5mw!content |
| seev.001.001.11 | MeetingNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_d4yNQPcjEe6Xoe\_xKtvjoQ!content |
| seev.031.001.14 [Binding] | CorporateActionNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_\_lyv4PciEe6Xoe\_xKtvjoQ!content |
| seev.032.001.08 [Binding] | CorporateActionEventProcessingStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasQ\_5GEe2Isabat7xeaQ!content |
| seev.033.001.12 [Binding] | CorporateActionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasRP5GEe2Isabat7xeaQ!content |
| seev.034.001.14 [Binding] | CorporateActionInstructionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_PQxEIB2oEe-1O\_AyFpsc3Q!content |
| seev.035.001.15 [Binding] | CorporateActionMovementPreliminaryAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_k6foMPvTEe6YEdYhorsJ1Q!content |
| seev.036.001.15 [Binding] | CorporateActionMovementConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_7TMTEPvUEe6Xoe\_xKtvjoQ!content |
| seev.037.001.15 [Binding] | CorporateActionMovementReversalAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_QRROsPcjEe6Xoe\_xKtvjoQ!content |
| seev.039.001.12 [Binding] | CorporateActionCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTUv5GEe2Isabat7xeaQ!content |
| seev.040.001.12 [Binding] | CorporateActionInstructionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTU\_5GEe2Isabat7xeaQ!content |
| seev.041.001.13 [Binding] | CorporateActionInstructionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVP5GEe2Isabat7xeaQ!content |
| seev.042.001.12 [Binding] | CorporateActionInstructionStatementReport | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_VygSYPv0Ee6YEdYhorsJ1Q!content |
| seev.044.001.12 | CorporateActionMovementPreliminaryAdviceCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVv5GEe2Isabat7xeaQ!content |
| semt.002.001.10 [Binding] | SecuritiesBalanceCustodyReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYeVBEeuhaqnPYdiQhw!content |
| semt.017.001.09 [Binding] | SecuritiesTransactionPostingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZY-VBEeuhaqnPYdiQhw!content |
| semt.018.001.10 [Binding] | SecuritiesTransactionPendingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYuVBEeuhaqnPYdiQhw!content |
| sese.020.001.06 | SecuritiesTransactionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIoDKEeu33vEL3nRjtw!content |
| sese.023.001.09 | SecuritiesSettlementTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIYDKEeu33vEL3nRjtw!content |
| sese.024.001.10 | SecuritiesSettlementTransactionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEYDKEeu33vEL3nRjtw!content |
| sese.025.001.09 | SecuritiesSettlementTransactionConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEoDKEeu33vEL3nRjtw!content |
| sese.027.001.05 | SecuritiesTransactionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIIDKEeu33vEL3nRjtw!content |
| sese.032.001.09 | SecuritiesSettlementTransactionGenerationNotification |  |

## Non-iso files

| **Schema file** | **Schema file version** |
| --- | --- |
| Credit Claim file | Credit\_Claims\_file\_Schema\_20240619.xsd |
| Credit Claim Processing report file | CC\_Processing\_Report\_file\_Schema\_20230417.xsd |
| Externally Managed Collateral file | EMC\_File\_Schema\_20230417.xsd |
| Externally Managed CC file | EM\_CCs\_for\_C2D-UC\_File\_Schema\_20230417.xsd |
| A2A report for statistical balance of payment | Statistical\_Reporting\_on\_Balance\_of\_Payments\_Report\_updated21082024.xsd |
| ICAS File | ICAS\_files\_20231108.xsd |
| DTT – Pool SCP xsd | Pool\_Creation\_SCP.xsd |
| Bulk Upload | Operation\_Interest\_Rate.xsd  US\_Taxation.xsd  Party.xsd (Same as for the DDT)  Counterparty.xsd (Same as for the DDT) |
| Email\_Address.xsd (Same as for the DDT)  BIC\_Address.xsd (Same as for the DDT) |
| Technical address.xsd (Same as for the DDT)  Contact\_Detail\_Party.xsd (Same as for the DDT) |
| Alternative\_Code.xsd (Same as for the DDT) |
| Mail \_Address (Same as for the DDT) |
| User.xsd (Same as for the DDT) |
| Settlement\_Possibilities.xsd (Same as for the DDT) |
| External\_Asset\_Account.xsd (Same as for the DDT)  Internal\_Asset\_Account.xsd\_ (Same as for the DDT)  NCB\_Cash\_Account.xsd\_ (Same as for the DDT) |
| NCB\_Participant\_Cash\_Account.xsd (Same as for the DDT) |
| Type.xsd (Same as for the DDT) |

- Message pagination implemented for EMC File

- Message Pagination implemented for CC file

## Restrictions

### Restrictions coming from previous releases

* Export of ECMS U2A reports is working as dessigned with restriction in PDF format – pending ECMS-WG validation of fields
* Multipooling:
  + The transfer of credit claims (Cross-Border) between different counterparties doesn't work properly: The demobilised credit claim is not impacted in the delivering counterparty credit claim position.
  + The transfer of credit claims from the counterparty to the NCB (and vice versa) doesn't work as expected

### New restrictions introduced with this Release

* None

## Corporate Action Events

The below table provides the status details on of each Corporate Action Event:

| **CA Event** | | | **Impacted flows per Event** | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Notification** | **Instruct** | **Advise** | **Confirm** | **Reverse** |
| **CA Event ID** | **CA Event name** | **Option** |
| ACTV | Trading Status: Active | MAND-N/A | X |  |  |  |  |
| BIDS | Repurchase Offer/Issuer Bid/Reverse Rights | VOLU-CASH,NOAC,CTEN | X | X | X | X | X |
| BPUT | Put Redemption | VOLU-CASH, NOAC | X | X | X | X | X |
| BRUP | Bankruptcy | MAND-N/A | X |  |  |  |  |
| CAPI | Capitalisation | MAND-N/A | X |  |  |  |  |
| CERT | Non-US TEFRA D Certification | CHOS-QINV,NOQU | X | X |  |  |  |
| CHAN | Change | MAND-N/A,SECU | X |  | X | X | X |
| CONS | Consent | VOLU-CONN,CONY,ABST,NOAC | X | X | X | X | X |
| CONV | Conversion | MAND-SECU, | X |  | X | X | X |
| CONV | Conversion | VOLU-CASE, CASH,SECU, NOAC | X | X | X | X | X |
| CREV | Credit Event | MAND-N/A | X |  |  |  |  |
| DFLT | Bond Default | MAND- N/A | X |  |  |  |  |
| DLST | Trading Status: Delisted | MAND- N/A | X |  |  |  |  |
| DRAW | Drawing | MAND-CASH | X |  | X | X | X |
| DSCL | Disclosure | MAND-CONY | X |  |  |  |  |
| DSCL | Disclosure | VOLU-CONY, CONN, NOAC | X | X | X | X | X |
| DTCH | Dutch Auction | VOLU-CASH, NOAC | X | X | X | X | X |
| EXOF | Exchange | VOLU-SECU,CASH, CASE,CEXC,NOAC | X | X | X | X | X |
| EXOF | Exchange | MAND-SECU | X |  | X | X | X |
| EXOF | Exchange | MAND-CASH | X |  | X | X | X |
| EXOF | Exchange | MAND-CASE | X |  | X | X | X |
| EXOF | Exchange | CHOS-SECU,CASH,CASE | X | X | X | X | X |
| EXTM | Maturity Extension | MAND-N/A, SECU | X |  | X | X | X |
| EXTM | Maturity Extension | CHOS-SECU,MPUT | X | X | X | X | X |
| INCR | Increase in Value | MAND-N/A | X |  |  |  | X |
| INFO | Information | MAND-N/A | X |  |  |  |  |
| INTR | Interest Payment | MAND-CASH | X | X | X | X | X |
| INTR | Interest Payment | CHOS-CASH | X | X | X | X | X |
| LIQU | Liquidation Payment | MAND-CASH | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-SECU | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-CASE | X |  | X | X | X |
| LIQU | Liquidation Payment | CHOS-CASH,SECU, CASE | X | X | X | X | X |
| MCAL | Full Call/Early Redemption | MAND-CASH | X |  | X | X | X |
| PARI | Pari-Passu | MAND-SECU | X |  | X | X | X |
| PCAL | Partial Redemption Without Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| PINK | Payment in Kind | MAND- OTHR, SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-N/A | X |  |  |  |  |
| PPMT | Instalment Call | MAND-SECU | X | X | X | X | X |
| PPMT | Instalment Call | CHOS-SECU,LAPS | X | X | X | X | X |
| PRED | Partial Redemption With Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | CHOS-CASH | X | X | X | X | X |
| REDO | Redenomination | MAND-SECU | X |  | X | X | X |
| REDO | Redenomination | MAND-N/A |  |  |  |  |  |
| REMK | Remarketing Agreement | CHOS-SECU,CASH | X | X | X | X | X |
| RHDI | Intermediate Securities Distribution | MAND-SECU | X |  | X | X | X |
| SUSP | Trading Status: Suspended | MAND-N/A | X |  |  |  |  |
| TEND | Tender/Acquisition/Takeover/Purchase Offer | VOLU-SECU,CASE, CASH,NOAC | X | X | X | X | X |
| WRTH | Worthless | MAND-LAPS | X |  | X | X | X |
| TREC | Tax Reclaim | VOLU-CASH, NOAC | X |  | X | X | X |
| WTRC | Withholding Tax Relief Certification | VOLU-BOBD, NOAC | x | x |  |  |  |