

 ECMS

Final Release Note

 RN-40.02-14.5.5

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#

# Introduction

This note provides an overview of the envisaged features for the release to be provided on **21/12/2024**.

## Release number and software version

This release has the following release number: **RN-40.02-14.5.5**

The relevant software version is **V.14.5.5**

# Release information

### Change requests

* CR ECMS-0092-UDFS - Triparty enhancements for ECMS Go Live
* Remaining point of Part A: 2. Identification of the counterparty in the reda.027 and reda.077
* Part B: Further enhancement to process colr.022 report on flow automatically sent out by TPA
* CR ECMS-0098-UDFS - Corporate Action amendments for ECMS Go Live
* Part 2: ECMS check of margin call per pool before sending the CA Counterparty Cash Payments

### Defect information

This release provides the fixes for the 139 following Problems:

| **PBI** | **Category** | **Summary** | **User** |
| --- | --- | --- | --- |
| 105835 | ECMS.Application.OMO | [CBT] [TOP] [PT] Report with duplicated records | TOP test campaign | PT  |
| 120190 | ECMS.Application.Billing | [EAC] MegaLend – Transaction/Holdings Fees setup Description | ECB |
| 120932 | ECMS.Application.OMO | [EAC] Interests seen twice, strange maturity date, missing principal amounts, no early repayments seen | SI  |
| 121214 | ECMS.Application.DDF | [APPR] Varchar fields which are not enclosed with double quotes | NL  |
| 131935 | ECMS.Technical.Other | OSLIN027 The percentage of Swap memory used is very high: 97%  | OT |
| 134360 | ECMS.Application.Operational day | RIAD file not Impacted due to missing tasks in the Operatioanl day setup APPR standard and release | OT |
| 136433 | ECMS.Application.Reference Data | [APPR] [Alerts] No alerts are displayed in the Alert Dashboard when an incorrect ICAS rating file is received. | DE  |
| 136582 | ECMS.Application.MultiPooling | [EAC] Update of position on internal asset account is only provisional | DE  |
| 136627 | ECMS.Application.Pool Position and pool projection | [APPR][Pool Position]: issue with colr.016 dates and times | SI  |
| 139772 | ECMS.Application.A2A interface | <ConfdBal> <TtlElgblBal> and <SttlmPosBal> on seev.036 PCAL OUT are not correct | IT  |
| 140325 | ECMS.Application.Credit Claims | [APPR] CC- Duplication check on rating reference instruction | IT  |
| 140396 | ECMS.Application.Contingency | Sending a MA Demobilisation during ECONS contingency mode. It should be rejected as the compulsory event is No. | IT  |
| 140479 | ECMS.Technical.Interface with EXDI | [APPR] Exchange Rate received on day D must be used from D+1 | IT  |
| 140765 | ECMS.Application.Billing | [APPR ][EAC][Billing]Wrong calculation used for Non-marketable assets holding fee  | SI  |
| 140892 | ECMS.Application.Statements and reporting | SoH - Sometimes NCB Participant Type is set as COUNTERPARTIES and other times as CROSS NCBs for the same Internal Asset Account | PT  |
| 141426 | ECMS.Application.DDF | [APPR] Collateral Ratio not correcly calculated | LU  |
| 143503 | ECMS.Application.Contingency | [EAC] Credit claim demobilisation instructions should be put on hold when ECONS activated | FR  |
| 143542 | ECMS.Application.Global credit and collateral position | [APPR] [CTP2] - Pool overview List screen : The attribute collateral excess is to be removed and the excess ECL is to be displayed instead of it | PT  |
| 143543 | ECMS.Application.Statements and reporting | Reporting - Settled and Reimbursed Credit Operations- STD operations are wrongly reported | ES  |
| 144294 | ECMS.Application.DDF | [PPR] Review double-quotes management within fields in DWH tables to be aligned with UDFS.. | IT  |
| 145081 | ECMS.Application.Pool Position and pool projection | [APPR][Pool Position & Pool Projection]: Pool Projection report showing Failed for counterparty | IE  |
| 145358 | ECMS.Application.Credit Claims | [EAC][ CC-Close link Validity start date and Validity end date = Business Date >> Attribute isCloseLinked still No even after Valo | ES  |
| 145419 | ECMS.Application.Corporate Actions | seev.035 sent to counterparty. Wrong <BlckdBal> and <InstdBal> | NL  |
| 145560 | ECMS.Application.DDF | [APPR] DDF failure not tracked in ECMS. Missing LDEs when DDF are generated are not reported in Megacommon | OT |
| 146055 | ECMS.Application.Credit Claims | [APPR] CC-Credit Claim matured and demobilized but generated on SoH | AT  |
| 146073 | ECMS.Application.DDF | enhancement request" to ask our provider to enrich existing LDE EligibleAssets with required fields | LU  |
| 146207 | ECMS.Application.Operational day | [Operational Day]: Scheduler remains inactive for 12 minutes when event Recheck Insufficiency Collateral is being executed | OT |
| 146262 | ECMS.Application.Credit Claims | [EAC] [FRCB] CC- Credit claims demobilisations stay blocked in status Waiting for Global Collateral Position Update with pool capped by a max credit line | FR  |
| 146411 | ECMS.Application.A2A interface | [CBT] [CTP1] [CTP2] When only one EMC instruction is rejected all the file is rejected | FR  |
| 146535 | ECMS.Application.Operational day | [EAC] the task asses credit claim eligibility is failed not blocking for the FRCB tenant | OT |
| 146696 | ECMS.Application.Billing | The average monthly holding for an internal asset account is wrongly calculated. | IT  |
| 146766 | ECMS.Application.DDF | [APPR] DDF OMO Position - Mismatch for interest amount for USD OMO. Non Euro Interest amount are computed twice for some given days | SI  |
| 146932 | ECMS.Application.Global credit and collateral position | The issue arises because CLM responds to the MCL request twice: first with a status of 'Pending' and then with a status of 'Accepted'. | IT |
| 146945 | ECMS.Application.Pool Position and pool projection | Banking Group Manager has no view on the consolidated banking group pool due to “internal error” | DE  |
| 146969 | ECMS.Application.Pool Position and pool projection | [Pool Position & Pool Projection]:Pool projection does not take into account CCOAU instruction with value date + 1 | SI  |
| 147058 | ECMS.Application.Reference Data | Close links not correctly calculated for AT03 and AT10 assets | DE |
| 147146 | ECMS.Application.Corporate Actions | Client and market position do not reflect correctly and clearly the reversal of a security movement. | IT  |
| 147186 | ECMS.Application.A2A interface | seev.031 wrong balance sent to the counterparty | ES  |
| 147238 | ECMS.Application.Pool Position and pool projection | [APPR] [CTP2] wrong values for colr.016 | IT  |
| 147437 | ECMS.Application.DDF | "Pool factor" retrieved in the LDE MAPositionValuated doesn't take into account the applicable date | IT  |
| 147467 | ECMS.Technical.Other |  [APPR] Generate U2A Archiving Notifications\_\_APPR and Generate A2A Archiving Notification\_\_APPR remains in Executing status | OT |
| 147850 | ECMS.Application.Global credit and collateral position | [APPR] Payment Status Notification pacs.002 from CLM received in MegaBroker and processed correctly. Issue with integration when sent to MegaLend. This is an automatic ECMS process. | IT  |
| 148065 | ECMS.Application.DDF | [EAC] DDF failure for some LDEs with Oracle error ORA-01438 "value larger than specified precision allows for this column".  | LU  |
| 148448 | ECMS.Application.U2A screens | Doesn’t work the search criteria with the field Currency on the screen Marketable Assets Collateral Holding Report | PT  |
| 148796 | ECMS.Application.Global credit and collateral position | [EAC][Error code FRZN015 after credit freezing is inconsistent with business rules] | FR  |
| 148800 | ECMS.Application.Credit Claims | U2A CCU of a mobilised credit claim rejected - Receiving CC File after cut-off , once processed on D+1 via Op Day ==>CC File is rejected and also BR are performed at the level of Instruction | NL  |
| 148853 | ECMS.Application.Credit Claims | [APPR] Recycle CCR&MOB in statut "Waiting manual intervention" received on D recycled on D+1 after creation of obligor on D+1==> mob rejected  | AT  |
| 149136 | ECMS.Application.Credit Claims | [EAC]Guarantor exists in ECMS is active but CCR instruction in waiting manual intervention | DE  |
| 149213 | ECMS.Application.Contingency | Check of Credit Lines and ECONS Deficit after deactivation of ECONS following ECONS test. | DE  |
| 149217 | ECMS.Application.Contingency | [EAC] ECONS - same alert received 5 times, only for users with #19 role | SI  |
| 149238 | ECMS.Application.Contingency | Credit claims mobilization successfully during contingency ECONS. It should automatically generate Credit Freezing instructions. | SI  |
| 149271 | ECMS.Application.Contingency | [EAC] CC Demob Not Released After Deactivation Of ECONS Mode | IT  |
| 149389 | ECMS.Application.Operational day | OP Day - Sending of GL A executed during the week- Check teh precondition in the task | OT |
| 149455 | ECMS.Application.DDF | Users deleted in ECMS are not reported into LDE Users. Normally any update should be tracked and communicated through the DDF | IT  |
| 149526 | ECMS.Application.DDF |  the debtor information sent through LDE CreditClaimsInstructions are missing when the instruction has the status 'Waiting Manual Intervention '. | IT  |
| 149527 | ECMS.Application.Global credit and collateral position | ECMS did not send the colr.003 when a margin call is created nor updated | ES  |
| 149601 | ECMS.Application.U2A screens | [APPR] SoT and SoPT list screen titles are wrongly displayed | PT  |
| 149624 | ECMS.Application.Corporate Actions | [APPR] Seev.035 REVR - CCB process. Wrong checking on MegaCor-0410: CORP085 | AT  |
| 149644 | ECMS.Application.Credit Claims | [SOH][creditClaims] - Credit claim with pending CCOAU instruction not present in statement of holding - position created with the CCU or the CCOAU event is without counterparty Asset account. | SI  |
| 149747 | ECMS.Application.Global credit and collateral position | ‘Collateral & credit – payment & credit line’ screen regular payment with amount = 0 | FR  |
| 149755 | ECMS.Application.Statements and reporting | [APPR]- [Concentration Limit Report ] - Field “Counterparty” in the Concentration Limit Check Report List Screen is filled with the internal Party ID with 5 digits | DE  |
| 149764 | ECMS.Application.Global credit and collateral position | There are some ifferent typos in the Concentration Limit Check Report – List Screen. | DE  |
| 149778 | ECMS.Application.Statements and reporting | Concentration Limit Check Report – Search Criteria | DE  |
| 149780 | ECMS.Application.U2A screens | [EAC] Filter of field Counterparty doesn’t work on Monitor Cash Collateral Accrued Interests screen | SI  |
| 149886 | ECMS.Application.Global credit and collateral position | [CBT] Cash payment of corporate action not sent to CLM due to margin call threshould setup | IT  |
| 149947 | ECMS.Application.Statements and reporting | Admi.005 not rejected when wrong NCB BIC received | FR  |
| 149957 | ECMS.Application.Statements and reporting | [CBT] Semt.002 generated with a wrong account servicer id BIC | FR  |
| 149958 | ECMS.Application.DDF | [CBT]The LDE MPOS needs to be reviewed as we're missing a specific Pool Operation type identifier 'FTRO' in the list  | IT  |
| 149979 | ECMS.Application.Statements and reporting | [EAC][Request Type of Statistical Balance Payment message] | ES  |
| 149995 | ECMS.Application.Statements and reporting | Concentration Limit Check Report – No content in columns Pool collateral Value and Previous ISIN Value Before Haircut are displayed | DE  |
| 150007 | ECMS.Application.A2A interface | CET/CEST to be reported in semt messages | IT  |
| 150028 | ECMS.Application.DDF | Updates in CreditClaimCasPreference are not reported in LDE CreditClaimCasPreference as expected. Any change should be sent trough this LDE. | FI  |
| 150043 | ECMS.Application.U2A screens | Remove button must not be displayed for second user. Validate/Reject Pool position report subscription screen | DE  |
| 150163 | ECMS.Application.Credit Claims | ECMS\_EAC\_NL\_CreditClaims: CCU Instructions rejected - Creditor is no more filled causing then the rejection of the instruction CCU with BRCCRU02. | NL  |
| 150176 | ECMS.Application.Credit Claims | [Credit Claims] - Error when saving CC guarantee | MT  |
| 150197 | ECMS.Application.Billing | ECMS Invoice Data File sent to BILL CoCo | OT |
| 150249 | ECMS.Application.Pool Position and pool projection | Wrong Field "CollAmt Ccy" For Credit Claims in the Colr.016 | DE  |
| 150359 | ECMS.Application.DDF | Misalignment between ECMS and LDE MAPositionValuated on a given day; some ISIN with position are not retrieved through the LDE. The DDF ran normally without error and the ISIN could be found the day before and the day after. | IT  |
| 150393 | ECMS.Application.MultiPooling | [APPR] NCB user opens “Display FTD Transfer” and searches for Source or Destination Pool. | DE  |
| 150395 | ECMS.Application.Billing | Calculated CC holding fee doesn’t seem to be correct. | FI  |
| 150514 | ECMS.Application.Statements and reporting | Reporting-Outstanding Credit Operations-Duplicated Princiapal line displayed | HR  |
| 150533 | ECMS.Application.Credit Claims | |[APPR][Credit Claims] - Receive CC File with multiple MOBs instructions based on same guarantee --> only one position of one credit claims is created | ES  |
| 150534 | ECMS.Application.Credit Claims | [APPR][Credit Claims] - wrong mapping of the preparation date and time leading to rejection because of BRCCF11 (“The creation date time should be less or equal to the ECMS system date and time”). | FR  |
| 150579 | ECMS.Application.DDF | The LDE MAPositionValuated must be reviewed as records are sometimes sent earlier than expected.The ECMS sent the settled information,in the MA\_POSITION\_VALUATED entity, as it was done on a given day while it should have been sent one day later. The query | IT  |
| 150622 | ECMS.Application.DDF | [APPR] The format of the field "Group Collateral Value(2)" in LDE ConcentrationLimitChecksBreaches is not aligned with UDFS.It should be Numeric(31,6).We've asked Vermeg to update it accordingly. | DE  |
| 150877 | ECMS.Application.Credit Claims | [APPR] Many CC files with few instruction | IT |
| 150907 | ECMS.Application.Statements and reporting | [APPR] SOH of CCs with currency USD must report the collateral value before haircut in USD | ES  |
| 150915 | ECMS.Application.Marketable Assets | [APPR] Alert “close link detected…” should not be raised if the ISIN is not mobilised in the pool. | BE  |
| 150917 | ECMS.Application.Operational day | Event Provide Prices to TPA/T2S\_is failed not blocking | OT |
| 150920 | ECMS.Application.Billing | [APPR] Wrong FX Rate In The Calculation Of Monthly Average Holdings | DE  |
| 150927 | ECMS.Application.Pool Position and pool projection | Deactivated pool can be valuated | SI  |
| 150996 | ECMS.Application.Billing | [APPR] CC mobilization from November calculated for October | SI  |
| 151001 | ECMS.Application.Marketable Assets | ‘ArchiveNotification’ button should be hidden in every MegaCustody screen it is displayed. | IT  |
| 151086 | ECMS.Application.Credit Claims | [Credit Claims ] - Demobilisation recorded with wrong Instruction status (Waiting Global Demob Impact) | FR  |
| 151226 | ECMS.Application.Credit Claims | [credit Claims]- Pool in margin call - All the Demobilisation with collateral value zero not confirmed and are queued in status Waiting Global Collateral position Update | FR  |
| 151242 | ECMS.Application.Message subscription | [EAC] A2A-Statistical Balance Payment report to be received via Fileact  | ES  |
| 151274 | ECMS.Application.DDF | The format of the field "Actual value outstanding" in LDE EligibleAssets needs to be reviewed, it should be a NUMERIC(31,6) as stated in UDFS | DE  |
| 151318 | ECMS.Application.Corporate Actions | Seev.031 REPL - Different behavior between cross and domestic positions | PT |
| 151336 | ECMS.Application.Marketable Assets | Sese.027 unexpectedly created upon U2A cancellation request on MAI | ECB |
| 151345 | ECMS.Application.DDF | Review mapping for the field "Total Fixed-Term Deposit not used as Collateral" in LDE PoolPosition. | SI  |
| 151352 | ECMS.Application.Credit Claims | [Credit Claims] - Random issue with DEMOB Instructions remaining in Waiting for Collateral Position Update | IT  |
| 151358 | ECMS.Application.DDF | [APPR] DDF for pool position - Initial margin 0 even for USD OMOs | SI  |
| 151554 | ECMS.Application.DDF | The generation of LDE NCBCalculatedFees fails for some tenants in EAC with error message ORA-01438: value larger than specified precision allowed for this column | OT |
| 151557 | ECMS.Application.Credit Claims | [APPR] [LUCB] Credit Claims\_CCU\_Creditor dropdown should show RIAD CODE and not ECMS Internal Code | LU  |
| 151599 | ECMS.Application.Statements and reporting | For a Closed OMO the field Amount is not filled in | SI  |
| 151607 | ECMS.Application.Statements and reporting | [APPR] Reporting-Outstanding Credit Operations-Instruction status to be alligned with allotement status | SI  |
| 151645 | ECMS.Application.Marketable Assets | Outgoing sese.027 message (CCBM) sent to counterparty with Party 2 BIC block | NL  |
| 151647 | ECMS.Application.Credit Claims | [APPR] - event of CCOAU create CC position with validity date = 31/01/9999.it should be 31/12/9999  | ES  |
| 151744 | ECMS.Application.DDF | [APPThe field "Total Open Market Credit Operation Interest" is equal to 0 for CTPYs having USD OMOs active, which is not normal. | SI  |
| 151766 | ECMS.Application.Global credit and collateral position | [GCCP] - CLM Messages - CP relaesed after cut off ==> waiting Previous Modification was bundled with MCL during NTP1 | FR  |
| 151780 | ECMS.Application.Statements and reporting | Missing “Close link detected” field in the Ex Post Check Report-Details screen. | DE  |
| 151801 | ECMS.Application.Global credit and collateral position | Instruction with compulsory event No and collateral insufficiency Yes must be rejected automatically by EOD process. | EE  |
| 151905 | ECMS.Application.U2A screens | NCB Participants are wrongly identified in the SoT Config screen. | LU  |
| 151942 | ECMS.Application.DDF | Duplicated records in LDE Allotments on maturity date. The query needs to be reviewed as it should retrieve only one record by operation not two.  | SI  |
| 151995 | ECMS.Application.Operational day | APPR should have changed the business day but has not since the new timeline is blocked awaiting execution of the old timeline. | OT |
| 152058 | ECMS.Application.DDF | DDF files sent by FileAct are not compressed | EE |
| 152084 | ECMS.Application.Corporate Actions | CERT - tag < CertfctnTp> is not sent to the counterparty when updated manually | DE  |
| 152130 | ECMS.Application.Operational day | The task <Generate weekly Initial UC File\_RELEASE\_APPR> failed for FR tenant | OT |
| 152140 | ECMS.Application.Operational day | Operational Day Scheduler waits 2 minutes before sending new tasks to execution. Applies to APPR and EAC. | OT |
| 152161 | ECMS.Application.Global credit and collateral position | APPR For FR11808, there is a discrepancy between SCL and ECL since 21/11/2024. | FR  |
| 152174 | ECMS.Application.Credit Claims | ECMS\_EAC\_LU\_MegaLend\_Credit Claims Update\_Instruction created without some parameter but default false is recorded on confirmation (Manual input CCU set off risk is, filled with false although emptied by the user) | LU  |
| 152276 | ECMS.Application.OMO | ER during margin call remain stuck- payment raise an Hibernate exception | DE |
| 152396 | ECMS.Application.DDF | [EAC] [APPR] [PROD] Send / Resend Data Extract Screen - field 'output Device" not available | OT |
| 152560 | ECMS.Application.MultiPooling | [EAC] Error without message when Input a OMO transfer without Interests calculated | ECB |
| 152587 | ECMS.Application.OMO | TOP file - SegregatedOperationName should not be mandatory | ES |
| 153349 | ECMS.Application.Reference Data | Close link Cpty-ISIN Validity End date must not be a holiday/weekend day | DE |

# Additional Information

The regression risk for this release is considered low according to regression tests performed in IAC and the analysis done by the support teams. See the section restriction 3.4.2 for more details.

## XSD version

The following principles and rules referring XSD versions apply:

|  |  |  |
| --- | --- | --- |
|  | **Message Version** | **Additionally available Message Version** |
| **Software Version** | ECMS UDFS v 1.2Binding versions published 31/01/202328/05/2023 | n/a |
| **MyStandards**  | ECMS UDFS v 1.2Binding versions published 31/01/202328/05/2023 | n/a |

Links to MyStandards message usage guidelines are available under the dedicated section in 3.2.

## Message usage guidelines

New annotations in ECMS\_UDFS\_1.3\_ seev.035.001.15 for the following field:

* /Document/CorpActnMvmntPrlimryAdvc/CorpActnMvmntDtls/RateAndAmtDtls/PrratnRate – **Annotation:** ***ECMS-Use:***According to the Single collateral Management Rulebook for Europe, Proration Rate is an optional Key Data Element for BIDS and BPUT

| **Message Idr** | **Message Name** | **MyStandards Link**  |
| --- | --- | --- |
| admi.005.001.01 | ReportQueryRequest | <https://www2.swift.com/mystandards/#/mp/mx/_cpPN-54eEe2_HrtFlPkgmg/_cpPN_J4eEe2_HrtFlPkgmg/!content> |
| admi.007.001.01 | ReceiptAcknowledgement | https://www2.swift.com/mystandards/#/mp/mx/\_cpPN-54eEe2\_HrtFlPkgmg/\_cpPN\_Z4eEe2\_HrtFlPkgmg/!content |
| camt.004.001.08 | Return Account (AML) | https://www2.swift.com/mystandards/#/mp/mx/\_JqeaEGV0EeiVZ9TevhqGpw/\_PuQDMw4TEeuC29xszeiKFA!content |
| camt.011.001.07 | Modify Limit (update Credit Line) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVm8BEe2mUZI\_ykUOqg/!content |
| camt.019.001.07 | Return Business Day Information | https://www2.swift.com/mystandards/#/mp/mx/\_-F77ALUMEem3D\_kns4F02w/\_QCsyITMTEeupe-EX861w5Q!content |
| camt.025.001.05 | Receipt (Status on Credit Freezing Update, Modify Limit, Externally Managed Collateral) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUm8BEe2mUZI\_ykUOqg/!content |
| camt.029.001.09 | Resolution of Investigation (Status on Cancellation of Payment) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyU28BEe2mUZI\_ykUOqg/!content |
| camt.036.001.05 | DebitAuthorisationResponse | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUW8BEe2mUZI\_ykUOqg/!content |
| camt.050.001.05 | Providing Liquidity to ECONS II | <https://www2.swift.com/mystandards/#/mp/mx/_CvIYkGflEeiHpY3ZWojbGQ/_6CqRoR2UEem4Eo9C5uuzUw!content> |
| camt.054.001.08 | BankToCustomerDebitCreditNotification |  |
| camt.056.001.08 | Payment Cancellation Request  | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVG8BEe2mUZI\_ykUOqg/!content |
| camt.077.001.01 | Billing Report | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_SJB9gG8CEe2EhuLuaqiVkw/!content |
| camt.998.001.03 | Credit Freezing Update | https://www2.swift.com/mystandards/#/mp/mx/\_e574t54zEe2\_HrtFlPkgmg/\_e574uJ4zEe2\_HrtFlPkgmg/!content |
| camt.998.001.03 | Modify Credit Line | https://www2.swift.com/mystandards/#/mp/mx/\_Q8zp0LKEEeiksOjtS2t1cA/\_WQ3kcbKEEeiksOjtS2t1cA!content |
| colr.003.001.04 | Margin Call Request | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllp4zEe23Lve-6NzfEg/!content |
| colr.016.001.04 | Pool Position Report | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllZ4zEe23Lve-6NzfEg/!content |
| colr.019.001.01 | TripartyCollateralTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEZ4ZEe2\_HrtFlPkgmg!content |
| colr.020.001.01 | TripartyCollateralStatusAndProcessingAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEp4ZEe2\_HrtFlPkgmg!content |
| colr.021.001.01 | TripartyCollateralAllegementNotification | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PE54ZEe2\_HrtFlPkgmg!content |
| colr.022.001.01 | TripartyCollateralAndExposureReport | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFJ4ZEe2\_HrtFlPkgmg!content |
| colr.024.001.01 | TripartyCollateralAllegementNotificationCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFZ4ZEe2\_HrtFlPkgmg!content |
| head.001.001.01 | BusinessApplicationHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GJ4bEe23Lve-6NzfEg/!content |
| head.002.001.01 | BusinessFileHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GZ4bEe23Lve-6NzfEg/!content |
| pacs.002.001.10 | Payment Status Report | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemY54gEe23Lve-6NzfEg/!content |
| pacs.009.001.08 | Financial Institution Credit Transfer | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYp4gEe23Lve-6NzfEg/!content |
| pacs.010.001.03 | Financial Institution Direct Debit | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYZ4gEe23Lve-6NzfEg/!content |
| reda.024.001.01 | CollateralValueCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_OlkM4JB1Eeul5tJbTfO5mw!content |
| reda.025.001.01 | EligibleSecuritiesCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_547XsaytEeu5TKv36vD2Ig!content |
| reda.027.001.01 | CloseLinkCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_cipy0JB1Eeul5tJbTfO5mw!content |
| reda.028.001.01 | CollateralDataStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_6mVa0ayuEeu5TKv36vD2Ig!content |
| reda.074.001.01 | TripartyCollateralUnilateralRemovalRequest | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFp4ZEe2\_HrtFlPkgmg!content |
| reda.075.001.01 | EligibleSecuritiesDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_4F994JB1Eeul5tJbTfO5mw!content |
| reda.077.001.01 | CloseLinkDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_Yze88JB2Eeul5tJbTfO5mw!content |
| seev.001.001.11 | MeetingNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_d4yNQPcjEe6Xoe\_xKtvjoQ!content |
| seev.031.001.14 [Binding] | CorporateActionNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_\_lyv4PciEe6Xoe\_xKtvjoQ!content |
| seev.032.001.08 [Binding] | CorporateActionEventProcessingStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasQ\_5GEe2Isabat7xeaQ!content |
| seev.033.001.12 [Binding] | CorporateActionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasRP5GEe2Isabat7xeaQ!content |
| seev.034.001.14 [Binding] | CorporateActionInstructionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_PQxEIB2oEe-1O\_AyFpsc3Q!content |
| seev.035.001.15 [Binding] | CorporateActionMovementPreliminaryAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_k6foMPvTEe6YEdYhorsJ1Q!content |
| seev.036.001.15 [Binding] | CorporateActionMovementConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_7TMTEPvUEe6Xoe\_xKtvjoQ!content |
| seev.037.001.15 [Binding] | CorporateActionMovementReversalAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_QRROsPcjEe6Xoe\_xKtvjoQ!content |
| seev.039.001.12 [Binding] | CorporateActionCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTUv5GEe2Isabat7xeaQ!content |
| seev.040.001.12 [Binding] | CorporateActionInstructionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTU\_5GEe2Isabat7xeaQ!content |
| seev.041.001.13 [Binding] | CorporateActionInstructionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVP5GEe2Isabat7xeaQ!content |
| seev.042.001.12 [Binding] | CorporateActionInstructionStatementReport | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_VygSYPv0Ee6YEdYhorsJ1Q!content |
| seev.044.001.12 | CorporateActionMovementPreliminaryAdviceCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVv5GEe2Isabat7xeaQ!content |
| semt.002.001.10 [Binding] | SecuritiesBalanceCustodyReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYeVBEeuhaqnPYdiQhw!content |
| semt.017.001.09 [Binding] | SecuritiesTransactionPostingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZY-VBEeuhaqnPYdiQhw!content |
| semt.018.001.10 [Binding] | SecuritiesTransactionPendingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYuVBEeuhaqnPYdiQhw!content |
| sese.020.001.06 | SecuritiesTransactionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIoDKEeu33vEL3nRjtw!content |
| sese.023.001.09 | SecuritiesSettlementTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIYDKEeu33vEL3nRjtw!content |
| sese.024.001.10 | SecuritiesSettlementTransactionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEYDKEeu33vEL3nRjtw!content |
| sese.025.001.09 | SecuritiesSettlementTransactionConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEoDKEeu33vEL3nRjtw!content |
| sese.027.001.05 | SecuritiesTransactionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIIDKEeu33vEL3nRjtw!content |
| sese.032.001.09 | SecuritiesSettlementTransactionGenerationNotification |  |

## Restrictions

### Restrictions coming from previous releases

* Export of ECMS U2A reports is working as dessigned with restriction in PDF format – pending ECMS-WG validation of fields
* Interest rate movements created from the settlement date until the current business date
* Multipooling:
	+ The transfer of CC is not working properly
* Credit claims:
	+ CC demobilization process not fully stable due to the current review (consolidation of demobilization)
* ECONS:
	+ Deficit Econs field is filled in wrongly after creation of some type of instructions (EMC , OMO , FTD)
* The signing process is working correctly after clicking on the first error message asking to check if GoSign Desktop is running.

### New restrictions introduced with this Release

* When cancelling a MAI in 4-eyes with counterparty user, the second counterparty user can’t validate/reject. NCB can do it on behalf.
* Credit Claims:
	+ CCU instruction is impacting every data except the outstanding amount

## Corporate Action Events

The below table provides the status details on of each Corporate Action Event:

| **CA Event** | **Impacted flows per Event** |
| --- | --- |
| **Notification** | **Instruct** | **Advise** | **Confirm** | **Reverse** |
| **CA Event ID** | **CA Event name** | **Option** |
| ACTV | Trading Status: Active | MAND-N/A | X |  |  |  |  |
| BIDS | Repurchase Offer/Issuer Bid/Reverse Rights | VOLU-CASH,NOAC,CTEN | X | X | X | X | X |
| BPUT | Put Redemption | VOLU-CASH, NOAC | X | X | X | X | X |
| BRUP | Bankruptcy | MAND-N/A | X |  |  |  |  |
| CAPI | Capitalisation | MAND-N/A | X |  |  |  |  |
| CERT | Non-US TEFRA D Certification | CHOS-QINV,NOQU | X | X |  |  |  |
| CHAN | Change | MAND-N/A,SECU | X |  | X | X | X |
| CONS | Consent | VOLU-CONN,CONY,ABST,NOAC | X | X | X | X | X |
| CONV | Conversion | MAND-SECU, | X |  | X | X | X |
| CONV | Conversion | VOLU-CASE, CASH,SECU, NOAC | X | X | X | X | X |
| CREV | Credit Event | MAND-N/A | X |  |  |  |  |
| DFLT | Bond Default | MAND- N/A | X |  |  |  |  |
| DLST | Trading Status: Delisted | MAND- N/A | X |  |  |  |  |
| DRAW | Drawing | MAND-CASH | X |  | X | X | X |
| DSCL | Disclosure | MAND-CONY | X |  |  |  |  |
| DSCL | Disclosure | VOLU-CONY, CONN, NOAC | X | X | X | X | X |
| DTCH | Dutch Auction | VOLU-CASH, NOAC | X | X | X | X | X |
| EXOF | Exchange | VOLU-SECU,CASH, CASE,CEXC,NOAC | X | X | X | X | X |
| EXOF | Exchange | MAND-SECU | X |  | X | X | X |
| EXOF | Exchange | MAND-CASH | X |  | X | X | X |
| EXOF | Exchange | MAND-CASE | X |  | X | X | X |
| EXOF | Exchange | CHOS-SECU,CASH,CASE | X | X | X | X | X |
| EXTM | Maturity Extension | MAND-N/A, SECU | X |  | X | X | X |
| EXTM | Maturity Extension | CHOS-SECU,MPUT | X | X | X | X | X |
| INCR | Increase in Value | MAND-N/A | X |  |  |  | X |
| INFO | Information | MAND-N/A | X |  |  |  |  |
| INTR | Interest Payment | MAND-CASH | X | X | X | X | X |
| INTR | Interest Payment | CHOS-CASH | X | X | X | X | X |
| LIQU | Liquidation Payment | MAND-CASH | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-SECU | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-CASE | X |  | X | X | X |
| LIQU | Liquidation Payment | CHOS-CASH,SECU, CASE | X | X | X | X | X |
| MCAL | Full Call/Early Redemption | MAND-CASH | X |  | X | X | X |
| PARI | Pari-Passu | MAND-SECU | X |  | X | X | X |
| PCAL | Partial Redemption Without Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| PINK | Payment in Kind | MAND- OTHR, SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-N/A | X |  |  |  |  |
| PPMT | Instalment Call | MAND-SECU | X | X | X | X | X |
| PPMT | Instalment Call | CHOS-SECU,LAPS | X | X | X | X | X |
| PRED | Partial Redemption With Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | CHOS-CASH | X | X | X | X | X |
| REDO | Redenomination | MAND-SECU | X |  | X | X | X |
| REDO | Redenomination | MAND-N/A |  |  |  |  |  |
| REMK | Remarketing Agreement | CHOS-SECU,CASH | X | X | X | X | X |
| RHDI | Intermediate Securities Distribution | MAND-SECU | X |  | X | X | X |
| SUSP | Trading Status: Suspended | MAND-N/A | X |  |  |  |  |
| TEND | Tender/Acquisition/Takeover/Purchase Offer | VOLU-SECU,CASE, CASH,NOAC | X | X | X | X | X |
| WRTH | Worthless | MAND-LAPS | X |  | X | X | X |
| TREC | Tax Reclaim | VOLU-CASH, NOAC | X |  | X | X | X |
| WTRC | Withholding Tax Relief Certification | VOLU-BOBD, NOAC | x | x |  |  |  |