

 ECMS

Final Release Note

 RN-37.01-14.2.3

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#

# Introduction

This note provides an overview of the envisaged features for the release to be provided on **07/09/2024**.

## Release number and software version

This release has the following release number: **RN-37.01-14.2.3**

The relevant software version is **V.14.2.3**

# Release information

### Change requests

* CR ECMS-0082-SYS: Upgrade of meeting announcement and CA messages to SR 2024 => Upgraded in APPR and PROD in this release

### Defect information

This release provides the fixes for the 90 following Problems:

| **PBI** | **Category** | **Summary** | **User** |
| --- | --- | --- | --- |
| 106149 | ECMS.Application.Global credit and collateral position | [EAC]EMC File received same time - treated in Parallel - error Traced | DE |
| 128614 | ECMS.Application.Reference Data | [CBT] data scope history screen (counterparty id information is missing) | IT |
| 132326 | ECMS.Application.Global credit and collateral position | [EAC] Update of pool reference - remove of Absolut Credit Limit | IT  |
| [133684](https://esap-tms.escb.eu/CAisd/pdmweb.exe?OP=SEARCH+FACTORY=pr+SKIPLIST=1+QBE.EQ.id=540122) | ECMS.Application.MultiPooling | [EAC] Transfer between a counterparty and its NCB remains pending in waiting for collateral position update | ECB |
| 134039 | ECMS.Application.Operational day | [CBT][EAC][EMC Instruction DELTA Decrease non-compulsory with MCL pending due to liquidity insufficiency led to a wrong calculation of the credit line at end of day.] | DE  |
| 135259 | ECMS.Application.Statements and reporting | [APPR] Issue with the generation of the semt.002 for FR30006 | FR  |
| 135879 | ECMS.Migration.Other | After ER Migration , the interest Mvnt created with no status | DE |
| 136744 | ECMS.Application.Corporate Actions | [EAC] Infinite loop while trying to launch the allocation for the failed payments leading to camt.054 messages failure | DE  |
| 136880 | ECMS.Application.Credit Claims | [EAC] Handling of ECAI rating : close of old ratings and rating selection when multiple Obligor valid rating exists in ECMS | ES  |
| 137208 | ECMS.Application.Corporate Actions | [APPR] Camt.054 cannot be processed due to error PALM-01363 | IT  |
| 137285 | ECMS.Application.Marketable Assets | [APPR] Demobilization don't decrease position and create a new line with a negative position | LU  |
| 137350 | ECMS.Application.Marketable Assets | A counterparty – ISIN close link is not automatically created by the ECMS when the issuer of an ISIN is also a counterparty | AT  |
| 137419 | ECMS.Application.Global credit and collateral position | [APPR]Notification types “ ”, COLLATERAL\_ACK and COLLATERAL\_NACK to be removed from notification type when a new record is created on screen “Message Subscription Setup” in MegaLend. | MT  |
| 137808 | ECMS.Application.Corporate Actions | [APPR] Manual allocation cannot be triggered for client entitlements due to an error | IT  |
| 137884 | ECMS.Application.U2A screens | Multiple alerts ORA-01691 | LU |
| 138087 | ECMS.Application.Credit Claims | [APPR] Creditors displayed wrong | AT  |
| [138262](https://esap-tms.escb.eu/CAisd/pdmweb.exe?OP=SEARCH+FACTORY=pr+SKIPLIST=1+QBE.EQ.id=544842) | ECMS.Application.Pool Position and pool projection | [APPR] Generation Date (11/07/2024) and Execution Date (01/07/2024) seem to be wrong | SI |
| 138559 | ECMS.Technical.Other | [PROD] Database connection problem | IT  |
| 138825 | ECMS.Application.Pool Position and pool projection | [APPR] colr.016 negative value not reported | IT  |
| 139048 | ECMS.Application.Credit Claims | [APPR] Debtor\_not\_found\_whereas\_CC\_registered\_FR30006 | FR  |
| 139217 | ECMS.Application.Cash as collateral | [APPR] [CTP1] [CTP2] Cash collateral instruction should not impact in a pool ONLY margin call when there is not any margin cal. | DE  |
| 139297 | ECMS.Application.Corporate Actions | [EAC][CTP1][CTP2] CA Pred not created even seev.031 Processed | BE  |
| 139327 | ECMS.Application.FTDs | [CBT][TOP][CTP1][CTP2] 20240724 INC Confirmation of CLM Rejection is not possible  | DE  |
| 139348 | ECMS.Application.Corporate Actions | [APPR]ECMS not allow to validate a replacement in some fields of a CA | ES |
| 139447 | ECMS.Application.OMO | [APPR]confirm FX Payment should display only omo with sub status waiting FX Confirmation. | NL  |
| 139458 | ECMS.Application.Global credit and collateral position | [APPR] MaCL instruction in status “not valorized” – caused misalignement between ECMS and CLM as MaCL was not communicated to CLM | DE  |
| 139584 | ECMS.Application.U2A screens | [APPR] Screen Create/Display NCB Custodian in APPR environment contains value “Country of location” instead of “issuer CSD code” and contains flags “is TPA” and “is CSD” with True/false values instead of Yes/no values | ECB |
| 139696 | ECMS.Application.Marketable Assets | [APPR][Cross US Mobilisation directly allowed instead wait for NCB manual Intervention] | SI  |
| 139697 | ECMS.Application.Corporate Actions | [APPR] LT\_seev.031 not received\_PREPROD\_20240725 | LT  |
| 139715 | ECMS.Application.Corporate Actions | [APPR][Account owner BIC in outbound seev.039 is always informed with the same value but it must be mapped from the counterparties account information] | ES  |
| 139812 | ECMS.Application.Statements and reporting | SOH official wrong time stamp. | DE  |
| 139879 | ECMS.Application.Reference Data | Counterparty user access to EDIT and DELETE bottons | BE  |
| 139886 | ECMS.Application.Credit Claims | [appr]Export not possible in one screen of the CC file | FR  |
| 139887 | ECMS.Application.Statements and reporting | Timeout download all PDF Statements | DE |
| 139916 | ECMS.Application.Credit Claims | When Recycle of CCR in status Waiting Manual Intervention after manual creation of the Obligors , the CCR is confirmed but the business validation errors are not cleared. | FR  |
| 139992 | ECMS.Application.Credit Claims | [APPR] [TOP] CC- Access Right - NCB user with role NCB - U2A GENERAL REFERENCE DATA EXECUTION - #13 has no access to Validate Creditor , to validate Debtor , To validate Guarantor Screens | EE  |
| 140135 | ECMS.Application.Credit Claims | [APPR] 2024-08-12 CC / ACC eligibility rule can not be modified to allow stop - Deliver configuration | ES  |
| 140229 | ECMS.Application.Credit Claims | CC- 4EYES- VALIDATE/REJECT COUNTERPARTY CC ELIGIBILITY --> Missing to actions validate/ Reject | LU  |
| 140252 | ECMS.Application.Standing Facilities | AML settlement - error when credit line is generated - concurrency exception | AT  |
| 140263 | ECMS.Application.Standing Facilities | Interest calculation - Global Interest movement not generating credit lines - leading to Credit line Discrepancy ECL/RCL <-> SCL | NL  |
| 140302 | ECMS.Application.Credit Claims | [APPR] CCR & MOB in the same file for the same CC in status Waiting Manual intervention for obligor inexistence - - recycle MOB without recycling the CCR - MOB status moved and no more eligible to recycle | IT  |
| 140325 | ECMS.Application.Credit Claims | [APPR] CC- Duplication check on rating reference instruction | IT  |
| 140427 | ECMS.Application.Corporate Actions | [APPR]`CTP2] ECMS UTEST: issue with unblock position after CERT event | IT  |
| 140484 | ECMS.Application.Pool Position and pool projection | [APPR] GCCP - actions edit to remove from pool overview > Credit line details. | NL  |
| 140580 | ECMS.Application.Marketable Assets | [APPR] An outgoing sese.025 for a counterparty is wrongly sent to the ESMIG without technical address | NL  |
| 140650 | ECMS.Application.Corporate Actions | Seev.033 outgoing screen - field filter "CA CSD Event Ref" does not work. | DE  |
| 140653 | ECMS.Application.Statements and reporting | U2A SoT and U2A Offcial SoT report - statement start date and statement end date does not filter same results. | SI  |
| 140666 | ECMS.Application.OMO | OMO in currency Netting - only amounts in euro are displayed | DE  |
| 140738 | ECMS.Application.Credit Claims | [EAC] Issue with CSDB file not processed neither Impacted | OT |
| 140765 | ECMS.Application.Billing | [APPR ][EAC][Billing]Wrong calculation used for Non-marketable assets holding fee - Jira (banque-france.fr) | SI  |
| 140768 | ECMS.Application.Billing | [APPR][Billing]Wrong Average Monthly Holding for IT0000798421676MAEUCOABI90925 and ISIN XS\* - Jira (banque-france.fr) | IT  |
| 140788 | ECMS.Application.Corporate Actions | Seev.034 not released after received ack from CSD | SI  |
| 140810 | ECMS.Application.Billing | [APPR][Billing] Missing holding for 31/07/2024 for calculation Average Monthly Holding  | IT  |
| 140823 | ECMS.Application.Credit Claims | U2A creation of guarantee - Guarantee Amount mandatory even if Unlimited Amount set to Yes | SI  |
| 140892 | ECMS.Application.Statements and reporting | SoH - Sometimes NCB Participant Type is set as COUNTERPARTIES and other times as CROSS NCBs for the same Internal Asset Account | PT  |
| 140900 | ECMS.Application.Reference Data | Avoid updates in screen Eligible CSD Link | IE  |
| 140922 | ECMS.Application.Pool Position and pool projection |  [Performance][APPR][Pool projection] search criteria : error when trying to add a CPY as a criteria | DE  |
| [140938](https://esap-tms.escb.eu/CAisd/pdmweb.exe?OP=SEARCH+FACTORY=pr+SKIPLIST=1+QBE.EQ.id=547598) | ECMS.Application.Billing | [APPR] Creation INC & PBI for August Billing Cycle - APPR Billing ECMS Invoice Data File contains records with zero amount | FR |
| 141016 | ECMS.Application.Corporate Actions | [APPR][Seev.035 after KDE modification are in Impact Failed with error MegaCor-0113] | IT  |
| 141248 | ECMS.Application.Operational day | EMC Instructions with the revaluation of the EMC are rejected due to cut-off time and not processed in NTP1 | DE  |
| 141352 | ECMS.Migration.Other | [EAC] MIGRATION TEST 10 – SoH, SoT and SoPT not sent after execution of cleanup of transactional data due to the clean up tool wrongly deleted the Statement Jobs | IT  |
| 141365 | ECMS.Application.Marketable Assets | [APPR] issue with seev.035 CAPA REVR on CAEV INTR0000004222 and PCAL0000004221. | IT |
| 141517 | ECMS.Application.Corporate Actions | [EAC] CA alert 'No cash impact is required for NOAC option' is issued incorrectly in MegaCor | FR  |
| 141556 | ECMS.Application.Credit Claims | [APPR] User non Super Validator recycle CC file ==> obligor created with action to validate = creation causing eligibility failure. | FR |
| 141830 | ECMS.Application.Corporate Actions | seev.036 not generated in TEND event | NL  |
| 141838 | ECMS.Migration.DTT | After MA Position DTT processing the currency reported in Client MA Settled Position screen is wrong | LU  |
| 141895 | ECMS.Application.Corporate Actions | [EAC] Edit and delete button wrongly displayed in MegaCor positions and movement screens | SI  |
| 141905 | ECMS.Migration.DTT | [MIG10][EAC][CTP1][CTP2] Amount and Outstanding Amount fieds are equal | ES |
| 142136 | ECMS.Application.Reference Data | Input Manual Update Of Position of ACC cross must be allowed | DE |
| 142258 | ECMS.Application.OMO | [APPR] [TOP] Wrong Pool Overview - Display of the interest amount for a non-collateralized fixed term deposit in the pool overview | DE |

# Additional Information

The regression risk for this release is considered low according to regression tests performed in IAC and the analysis done by the support teams. See the section restriction 3.4.2 for more details.

## XSD version

The following principles and rules referring XSD versions apply:

|  |  |  |
| --- | --- | --- |
|  | **Message Version** | **Additionally available Message Version** |
| **Software Version** | ECMS UDFS v 1.2Binding versions published 31/01/202328/05/2023 | n/a |
| **MyStandards**  | ECMS UDFS v 1.2Binding versions published 31/01/202328/05/2023 | n/a |

Links to MyStandards message usage guidelines are available under the dedicated section in 3.2.

## Message usage guidelines

New annotations in ECMS\_UDFS\_1.3\_seev.036.001.15 for the following fields:

/Document/CorpActnMvmntConf/CorpActnConfDtls/SctiesMvmntDtls/DtDtls/PstngDt/Dt - ***Annotation: ECMS-Use:****This is valid for ECMS usage*

/Document/CorpActnMvmntConf/CorpActnConfDtls/SctiesMvmntDtls/DtDtls/PstngDt/DtTm - ***Annotation: ECMS-Use:****This is not valid for ECMS usage*

/Document/CorpActnMvmntConf/CorpActnConfDtls/CshMvmntDtls/DtDtls/PstngDt/Dt - ***Annotation: ECMS-Use:****This is valid for ECMS usage*

/Document/CorpActnMvmntConf/CorpActnConfDtls/CshMvmntDtls/DtDtls/PstngDt/DtTm - ***Annotation: ECMS-Use:****This is not valid for ECMS usage*

| **Message Idr** | **Message Name** | **MyStandards Link**  |
| --- | --- | --- |
| admi.005.001.01 | ReportQueryRequest | <https://www2.swift.com/mystandards/#/mp/mx/_cpPN-54eEe2_HrtFlPkgmg/_cpPN_J4eEe2_HrtFlPkgmg/!content> |
| admi.007.001.01 | ReceiptAcknowledgement | https://www2.swift.com/mystandards/#/mp/mx/\_cpPN-54eEe2\_HrtFlPkgmg/\_cpPN\_Z4eEe2\_HrtFlPkgmg/!content |
| camt.004.001.08 | Return Account (AML) | https://www2.swift.com/mystandards/#/mp/mx/\_JqeaEGV0EeiVZ9TevhqGpw/\_PuQDMw4TEeuC29xszeiKFA!content |
| camt.011.001.07 | Modify Limit (update Credit Line) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVm8BEe2mUZI\_ykUOqg/!content |
| camt.019.001.07 | Return Business Day Information | https://www2.swift.com/mystandards/#/mp/mx/\_-F77ALUMEem3D\_kns4F02w/\_QCsyITMTEeupe-EX861w5Q!content |
| camt.025.001.05 | Receipt (Status on Credit Freezing Update, Modify Limit, Externally Managed Collateral) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUm8BEe2mUZI\_ykUOqg/!content |
| camt.029.001.09 | Resolution of Investigation (Status on Cancellation of Payment) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyU28BEe2mUZI\_ykUOqg/!content |
| camt.036.001.05 | DebitAuthorisationResponse | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUW8BEe2mUZI\_ykUOqg/!content |
| camt.050.001.05 | Providing Liquidity to ECONS II | <https://www2.swift.com/mystandards/#/mp/mx/_CvIYkGflEeiHpY3ZWojbGQ/_6CqRoR2UEem4Eo9C5uuzUw!content> |
| camt.054.001.08 | BankToCustomerDebitCreditNotification |  |
| camt.056.001.08 | Payment Cancellation Request  | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVG8BEe2mUZI\_ykUOqg/!content |
| camt.077.001.01 | Billing Report | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_SJB9gG8CEe2EhuLuaqiVkw/!content |
| camt.998.001.03 | Credit Freezing Update | https://www2.swift.com/mystandards/#/mp/mx/\_e574t54zEe2\_HrtFlPkgmg/\_e574uJ4zEe2\_HrtFlPkgmg/!content |
| camt.998.001.03 | Modify Credit Line | https://www2.swift.com/mystandards/#/mp/mx/\_Q8zp0LKEEeiksOjtS2t1cA/\_WQ3kcbKEEeiksOjtS2t1cA!content |
| colr.003.001.04 | Margin Call Request | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllp4zEe23Lve-6NzfEg/!content |
| colr.016.001.04 | Pool Position Report | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllZ4zEe23Lve-6NzfEg/!content |
| colr.019.001.01 | TripartyCollateralTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEZ4ZEe2\_HrtFlPkgmg!content |
| colr.020.001.01 | TripartyCollateralStatusAndProcessingAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEp4ZEe2\_HrtFlPkgmg!content |
| colr.021.001.01 | TripartyCollateralAllegementNotification | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PE54ZEe2\_HrtFlPkgmg!content |
| colr.022.001.01 | TripartyCollateralAndExposureReport | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFJ4ZEe2\_HrtFlPkgmg!content |
| colr.024.001.01 | TripartyCollateralAllegementNotificationCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFZ4ZEe2\_HrtFlPkgmg!content |
| head.001.001.01 | BusinessApplicationHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GJ4bEe23Lve-6NzfEg/!content |
| head.002.001.01 | BusinessFileHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GZ4bEe23Lve-6NzfEg/!content |
| pacs.002.001.10 | Payment Status Report | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemY54gEe23Lve-6NzfEg/!content |
| pacs.009.001.08 | Financial Institution Credit Transfer | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYp4gEe23Lve-6NzfEg/!content |
| pacs.010.001.03 | Financial Institution Direct Debit | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYZ4gEe23Lve-6NzfEg/!content |
| reda.024.001.01 | CollateralValueCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_OlkM4JB1Eeul5tJbTfO5mw!content |
| reda.025.001.01 | EligibleSecuritiesCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_547XsaytEeu5TKv36vD2Ig!content |
| reda.027.001.01 | CloseLinkCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_cipy0JB1Eeul5tJbTfO5mw!content |
| reda.028.001.01 | CollateralDataStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_6mVa0ayuEeu5TKv36vD2Ig!content |
| reda.074.001.01 | TripartyCollateralUnilateralRemovalRequest | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFp4ZEe2\_HrtFlPkgmg!content |
| reda.075.001.01 | EligibleSecuritiesDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_4F994JB1Eeul5tJbTfO5mw!content |
| reda.077.001.01 | CloseLinkDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_Yze88JB2Eeul5tJbTfO5mw!content |
| seev.001.001.11 | MeetingNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_d4yNQPcjEe6Xoe\_xKtvjoQ!content |
| seev.031.001.14 [Binding] | CorporateActionNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_\_lyv4PciEe6Xoe\_xKtvjoQ!content |
| seev.032.001.08 [Binding] | CorporateActionEventProcessingStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasQ\_5GEe2Isabat7xeaQ!content |
| seev.033.001.12 [Binding] | CorporateActionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasRP5GEe2Isabat7xeaQ!content |
| seev.034.001.14 [Binding] | CorporateActionInstructionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_PQxEIB2oEe-1O\_AyFpsc3Q!content |
| seev.035.001.15 [Binding] | CorporateActionMovementPreliminaryAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_k6foMPvTEe6YEdYhorsJ1Q!content |
| seev.036.001.15 [Binding] | CorporateActionMovementConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_7TMTEPvUEe6Xoe\_xKtvjoQ!content |
| seev.037.001.15 [Binding] | CorporateActionMovementReversalAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_QRROsPcjEe6Xoe\_xKtvjoQ!content |
| seev.039.001.12 [Binding] | CorporateActionCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTUv5GEe2Isabat7xeaQ!content |
| seev.040.001.12 [Binding] | CorporateActionInstructionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTU\_5GEe2Isabat7xeaQ!content |
| seev.041.001.13 [Binding] | CorporateActionInstructionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVP5GEe2Isabat7xeaQ!content |
| seev.042.001.12 [Binding] | CorporateActionInstructionStatementReport | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_VygSYPv0Ee6YEdYhorsJ1Q!content |
| seev.044.001.12 | CorporateActionMovementPreliminaryAdviceCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVv5GEe2Isabat7xeaQ!content |
| semt.002.001.10 [Binding] | SecuritiesBalanceCustodyReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYeVBEeuhaqnPYdiQhw!content |
| semt.017.001.09 [Binding] | SecuritiesTransactionPostingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZY-VBEeuhaqnPYdiQhw!content |
| semt.018.001.10 [Binding] | SecuritiesTransactionPendingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYuVBEeuhaqnPYdiQhw!content |
| sese.020.001.06 | SecuritiesTransactionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIoDKEeu33vEL3nRjtw!content |
| sese.023.001.09 | SecuritiesSettlementTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIYDKEeu33vEL3nRjtw!content |
| sese.024.001.10 | SecuritiesSettlementTransactionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEYDKEeu33vEL3nRjtw!content |
| sese.025.001.09 | SecuritiesSettlementTransactionConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEoDKEeu33vEL3nRjtw!content |
| sese.027.001.05 | SecuritiesTransactionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIIDKEeu33vEL3nRjtw!content |
| sese.032.001.09 | SecuritiesSettlementTransactionGenerationNotification |  |

## Non-iso files

| **Schema file** | **Schema file version** |
| --- | --- |
| Credit Claim file | Credit\_Claims\_file\_Schema\_20240619.xsd |
| Credit Claim Processing report file | CC\_Processing\_Report\_file\_Schema\_20230417.xsd |

- Message Pagination implemented for CC file

## Restrictions

### Restrictions coming from previous releases

* OMO:
* collateral reservation / collateral release of OMO in currency based on currency table is not fully stabilized
* If a CLM rejection is received on the maturity date, the system updates correctly the status of OMO and the maturity date (+1 BD). However, interest is not calculated correctly for this new maturity date, preventing the OMO from maturing.
* Export of ECMS U2A reports is working as dessigned with restriction on extraction in PDF format – pending ECMS-WG validation of fields
* Migration – Issues following the migration of CC Cross Border: Based of the Country code of the debtor instead of the governing law
* Interest rate movements created from the settlement date until the current business date
* Multipooling:
	+ The transfer of OMO is not working properly
	+ The pool is impacted before the MA transfer is reaching the final status

### New restrictions introduced with this Release

* + None

## Corporate Action Events

The below table provides the status details on of each Corporate Action Event:

| **CA Event** | **Impacted flows per Event** |
| --- | --- |
| **Notification** | **Instruct** | **Advise** | **Confirm** | **Reverse** |
| **CA Event ID** | **CA Event name** | **Option** |
| ACTV | Trading Status: Active | MAND-N/A | X |  |  |  |  |
| BIDS | Repurchase Offer/Issuer Bid/Reverse Rights | VOLU-CASH,NOAC,CTEN | X | X | X | X | X |
| BPUT | Put Redemption | VOLU-CASH, NOAC | X | X | X | X | X |
| BRUP | Bankruptcy | MAND-N/A | X |  |  |  |  |
| CAPI | Capitalisation | MAND-N/A | X |  |  |  |  |
| CERT | Non-US TEFRA D Certification | CHOS-QINV,NOQU | X | X |  |  |  |
| CHAN | Change | MAND-N/A,SECU | X |  | X | X | X |
| CONS | Consent | VOLU-CONN,CONY,ABST,NOAC | X | X | X | X | X |
| CONV | Conversion | MAND-SECU, | X |  | X | X | X |
| CONV | Conversion | VOLU-CASE, CASH,SECU, NOAC | X | X | X | X | X |
| CREV | Credit Event | MAND-N/A | X |  |  |  |  |
| DFLT | Bond Default | MAND- N/A | X |  |  |  |  |
| DLST | Trading Status: Delisted | MAND- N/A | X |  |  |  |  |
| DRAW | Drawing | MAND-CASH | X |  | X | X | X |
| DSCL | Disclosure | MAND-CONY | X |  |  |  |  |
| DSCL | Disclosure | VOLU-CONY, CONN, NOAC | X | X | X | X | X |
| DTCH | Dutch Auction | VOLU-CASH, NOAC | X | X | X | X | X |
| EXOF | Exchange | VOLU-SECU,CASH, CASE,CEXC,NOAC | X | X | X | X | X |
| EXOF | Exchange | MAND-SECU | X |  | X | X | X |
| EXOF | Exchange | MAND-CASH | X |  | X | X | X |
| EXOF | Exchange | MAND-CASE | X |  | X | X | X |
| EXOF | Exchange | CHOS-SECU,CASH,CASE | X | X | X | X | X |
| EXTM | Maturity Extension | MAND-N/A, SECU | X |  | X | X | X |
| EXTM | Maturity Extension | CHOS-SECU,MPUT | X | X | X | X | X |
| INCR | Increase in Value | MAND-N/A | X |  |  |  | X |
| INFO | Information | MAND-N/A | X |  |  |  |  |
| INTR | Interest Payment | MAND-CASH | X | X | X | X | X |
| INTR | Interest Payment | CHOS-CASH | X | X | X | X | X |
| LIQU | Liquidation Payment | MAND-CASH | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-SECU | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-CASE | X |  | X | X | X |
| LIQU | Liquidation Payment | CHOS-CASH,SECU, CASE | X | X | X | X | X |
| MCAL | Full Call/Early Redemption | MAND-CASH | X |  | X | X | X |
| PARI | Pari-Passu | MAND-SECU | X |  | X | X | X |
| PCAL | Partial Redemption Without Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| PINK | Payment in Kind | MAND- OTHR, SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-N/A | X |  |  |  |  |
| PPMT | Instalment Call | MAND-SECU | X | X | X | X | X |
| PPMT | Instalment Call | CHOS-SECU,LAPS | X | X | X | X | X |
| PRED | Partial Redemption With Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | CHOS-CASH | X | X | X | X | X |
| REDO | Redenomination | MAND-SECU | X |  | X | X | X |
| REDO | Redenomination | MAND-N/A |  |  |  |  |  |
| REMK | Remarketing Agreement | CHOS-SECU,CASH | X | X | X | X | X |
| RHDI | Intermediate Securities Distribution | MAND-SECU | X |  | X | X | X |
| SUSP | Trading Status: Suspended | MAND-N/A | X |  |  |  |  |
| TEND | Tender/Acquisition/Takeover/Purchase Offer | VOLU-SECU,CASE, CASH,NOAC | X | X | X | X | X |
| WRTH | Worthless | MAND-LAPS | X |  | X | X | X |
| TREC | Tax Reclaim | VOLU-CASH, NOAC | X |  | X | X | X |
| WTRC | Withholding Tax Relief Certification | VOLU-BOBD, NOAC | x | x |  |  |  |