

ECMS

Final Release Note

RN-36.01-14.1.1b

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# Introduction

This note provides an overview of the envisaged features for the release to be provided on **17/08/2024** in APPR.

## Release number and software version

This release has the following release number: **RN-36.01-14.1.1b**

The relevant software version is **V.14.1.1b**

# Release information

### Change requests

### Defect information

This release provides the fixes for the 127 following Problems:

| **PBI** | **Category** | **Summary** | **User** |
| --- | --- | --- | --- |
| 124691 | ECMS.Application.Operational day | CC status not changed after the NTP1 task succeeded. | FR |
| 125844 | ECMS.Application.OMO | [APPR] OMO - MPO Expected Payment - Too much data requested - review of the screen | DE |
| 128283 | ECMS.Application.OMO | [EAC] TLTROIII interest rate calculation - incorrect | ES |
| 129827 | ECMS.Application.Corporate Actions | [EAC] CA WRTH incoming seev.031 REPL were not correctly processed | SI |
| 130699 | ECMS.Application.U2A screens | [EAC] Excel export ignored when the search contain more than 10000 lines | DE |
| 130788 | ECMS.Application.Standing Facilities | CLM send the Credit line initiation , AML not yet matured in ECMS. This credit line initiation override the AML reverse already performed by CLM | SI |
| 132987 | ECMS.Application.Credit Claims | CC file schema : CC file > Rating Instruction - Increase “DfltProb” to 6 Digits | IT |
| 133487 | ECMS.Application.OMO | [EAC][OMO] T Receive CLM rejection on Credit line Initiation (generated on the First Night Time cycle mandatory bundle) - only the main CL should be eligible to resubmit Instruction. | IE |
| 133685 | ECMS.Application.Statements and reporting | [EAC] Errors in the CEPH Incident Report incidence detection | ES |
| 133859 | ECMS.Application.Credit Claims | CC file including Mobilisation is blocked in the processing status | SI |
| 133980 | ECMS.Application.MultiPooling | [EAC] ECMS did not calculate the right nominal and collateral values for the transferred ISINs in same pool. | IT |
| 134038 | ECMS.Application.MultiPooling | [AEAC] Multiple issues with internal transfer of CCs | IT |
| 134039 | ECMS.Application.Operational day | [CBT][EAC][EMC Instruction DELTA Decrease non-compulsory with MCL pending due to liquidity insufficiency led to a wrong calculation of the credit line at end of day.] | DE |
| 134056 | ECMS.Application.U2A screens | [AEAC] Brush is not displayed | DE |
| 134163 | ECMS.Application.OMO | [EAC]-LTRO-Provisional interest not properly calculated when Interest Rate is variable | PT |
| 134252 | ECMS.Application.Standing Facilities | Mature MLOR via contingency screen when netting is Activated not possible | SI |
| 134317 | ECMS.Application.OMO | [EAC] [TOP] OMO initiated interest rate equal to Zero. | EE |
| 134631 | ECMS.Application.Credit Claims | [APPR] Debtors created manually do not have rating, therefore they are not eligible in ECMS. | ES |
| 134876 | ECMS.Application.A2A interface | Wrong Format for Timestamps for message Admi.007 | BE |
| 134929 | ECMS.Application.Corporate Actions | Buttons “Delete” and “Edit” must not be displayed in Market Position screen | SI |
| 134936 | ECMS.Application.Operational day | [Operational Day]: NTP1 precondition of the event sending GLF A succeeded of being precondition not passed | LU |
| 134948 | ECMS.Application.Marketable Assets | [APPR] Transaction not sent to T2S | NL |
| 135116 | ECMS.Application.Reference Data | [EAC] The button “Remove” is wrongly displayed in validation screen for the validator | DE |
| 135125 | ECMS.Application.Credit Claims | [APPR] Reception of multiple CC Demobilisation in the same file including Demobilisation to be recycled | FR |
| 135245 | ECMS.Application.Credit Claims | [APPR] Recycle CC file in Status Failed - Demobilisation - handling of failure and recycle of the file | FR |
| 135254 | ECMS.Application.Marketable Assets | MAI in wrong MAIN028\_ManualIntervention | AT |
| 135258 | ECMS.Application.Credit Claims | [APPR] CC - Credit Claim in Currency Markdown should be applied on the amount resulting from the application of the general Haircut. | ES |
| 135496 | ECMS.Application.Operational day | generation and sending of GL files not OK | LU |
| 135505 | ECMS.Application.Pool Position and pool projection | [APPR] [Pool Position & Pool Projection]: Colr.016 rejected with schema error | PT |
| 135638 | ECMS.Application.Operational day | [Operational Day]: [EAC] Ope Day for BD 04/07 - Some events stuck in Sent For Execution & Executing | OT |
| 135682 | ECMS.Application.U2A screens | TLTROIII interest are not reflected in MPO expected payment Report | ES |
| 135683 | ECMS.Application.U2A screens | TLTROIII is not shown in Oustanding Credit Operations Report | ES |
| 135775 | ECMS.Application.Credit Claims | [EAC] Guarantee List Screen - actions deactivate / Activate are to be removed | DE |
| 135784 | ECMS.Application.Credit Claims | [APPR] ordering of the CC files based on preparation date and time - messages with same preparation date and time should be processed regardless the order | FR |
| 135793 | ECMS.Application.FTDs | CLONE - FTD position details : actual , provisional and conservative position wrongly calculated (Not including Position of migrated FTD) | DE |
| 135796 | ECMS.Application.Credit Claims | [APPR] CC - Four eyes - Files created with simple validator are processed before validation | NL |
| 135810 | ECMS.Application.Corporate Actions | Buttons Manual reconcile cash/securities not working through screen “CA event display” | ES |
| 135857 | ECMS.Application.Corporate Actions | After updating interest rate and manually reconcile new entitlement, the Interest rate is correctly populated but old entitlements are populated in the seev.035 | PT |
| 135871 | ECMS.Application.Operational day | Credit Claim Valuation task failed not blocking for 2 tenants | OT |
| 135923 | ECMS.Application.Global credit and collateral position | Issue on the management of pool movements reference | FR |
| 135951 | ECMS.Application.Credit Claims | [APPR] CCOAU-Same reference instruction for different counterparty | FR |
| 135966 | ECMS.Application.Statements and reporting | [APPR] U2A Official Statement of Holdings shows duplicate entries | SK |
| 136100 | ECMS.Technical.Other | [APPR] Megabroker - Flow out - Generation failed | OT |
| 136119 | ECMS.Application.U2A screens | [APPR] ECMS Unavailable | OT |
| 136122 | ECMS.Application.U2A screens | [EAC] ECMS Moduels unavailable | OT |
| 136126 | ECMS.Application.Corporate Actions | ECMS applies rounded rate for PRED event entitlement calculation leading to a partial reconciliation of the entitlement and the payment | NL |
| 136241 | ECMS.Application.Corporate Actions | Event processing status is updated to Instructed just after reception of a valid client instruction in voluntary events | SI |
| 136431 | ECMS.Application.Credit Claims | [APPR] Wrong instruction status received instead rejected | FR |
| 136433 | ECMS.Application.Reference Data | [APPR] [Alerts] No alerts are displayed in the Alert Dashboard when an incorrect ICAS rating file is received. | DE |
| 136506 | ECMS.Technical.Other | [EAC] Megabroker stuck | OT |
| 136595 | ECMS.Application.Pool Position and pool projection | [Pool Position]: FTD settled as "Not used as Collateral." In the pool projection, FTD is treated as "Used as Collateral | SI |
| 136610 | ECMS.Application.Operational day | [EAC] no price was sent form ECMS to T2S on 8.7.2024 and 11.7.2024 | SK |
| 136627 | ECMS.Application.Pool Position and pool projection | [APPR][Pool Position]: issue with colr.016 timestamps | SI |
| 136644 | ECMS.Application.Corporate Actions | [APPR][CPT1][CPT2] Incoming seev.031 rejected if received at once | ES |
| 136654 | ECMS.Application.Marketable Assets | [APPR] Tag / not allowed in TxId and CmonId | GR |
| 136691 | ECMS.Application.Corporate Actions | [APPR][CTP1][CTP2]Seev.034 unprocessed in CCB | CY |
| 136743 | ECMS.Application.Corporate Actions | [APPR] Change the location of the screen Manual cash payment confirmation foreign currency (NCB Users). | DE |
| 136757 | ECMS.Application.Global credit and collateral position | [Pool Position and Pool projection]: [APPR] The value recorded in colr.016 (ECFR tag) considers credit freezing orders in the rejected status | PT |
| 136760 | ECMS.Application.Global credit and collateral position | [Credit frezzing]: [APPR] validation of CF-DISC increase fails in error | FR |
| 136779 | ECMS.Application.OMO | [EAC] Error : 0.01 difference on Calculated Interest for Fixed rate Rate and omo in currency . | PT |
| 137130 | ECMS.Application.Marketable Assets | The space char is not allowed in the field TxId of sese.023 in ECMS but MyStandard accept it | ES |
| 137197 | ECMS.Application.Credit Claims | Reject Failed CC file - update failed instructions to Rejected , file to Reject and generate Processing report | AT |
| 137201 | ECMS.Application.Corporate Actions | PRED: Market entitlement not correctly calculated | NL |
| 137293 | ECMS.Application.Reference Data | [APPR] When a duplicated settlement possibility is tried to be created, the BBRR "An old Settlement Possibility overlaps with the current Settlement Possibility interval" should be raised | LT |
| 137301 | ECMS.Application.Statements and reporting | [APPR] Admi.005 wrongly rejected for a statement of holdings if a U2A Official PDF has already been generated for such internal asset account on the same statement date | AT |
| 137418 | ECMS.Application.A2A interface | [APPR]The ICAS rating Validity End Date is inferior to Validity Start Date should be triggered only if ValidFrom is < Valid Until | IT |
| 137443 | ECMS.Application.Marketable Assets | [APPR][CTP1][CTP2] The format of the tag “Amt” in the sese.024 is not valid | ES |
| 137444 | ECMS.Application.Operational day | [APPR] Reschedule the "task Common.closeICASRating\_\_APPR" in the event "REDM ICAS impact For Full File\_\_APPR" in NTP3 | DE |
| 137473 | ECMS.Application.Message subscription | ECMS is sending the sese message to a wrong technical address | ES |
| 137562 | ECMS.Application.Credit Claims | [APPR] An error text is displayed when manual creation of a Guarantee | MT |
| 137580 | ECMS.Application.Corporate Actions | The screen “Generate actual” must be granted to NCB users role #44. NCB - U2A CORPORATE ACTIONS AND TAX MANAGEMENT EXECUTION. From this screen, client payments can be created after the manual allocation. | PT |
| 137581 | ECMS.Application.Contingency | [EAC] camt 050 messages were not created neither for ECONS nor for SCP pools | DE |
| 137619 | ECMS.Application.Corporate Actions | Wrong CSD provider when Cross NCB scenario | DE |
| 137623 | ECMS.Application.U2A screens | [APPR] Generation of Statement of Transactions not possible | LU |
| 137626 | ECMS.Application.Operational day | Revaluation process of marketable assets\_OPDAY00000015 stuck with org.hibernate error | OT |
| 137653 | ECMS.Application.Corporate Actions | Field CA CSD Event Ref must be read only in update CA screen as it is not an editable field | BE |
| 137774 | ECMS.Application.OMO | [APPR] Outstanding Credit Operations, interests appear twice | LU |
| 137802 | ECMS.Application.Corporate Actions | [APPR][CTP2] ECMS UTEST: issue with debit notification camt.054 not received | IT |
| 137881 | ECMS.Application.Operational day | [EAC] Revaluation process of marketable assets failed | OT |
| 137892 | ECMS.Application.Marketable Assets | [APPR] The download button in the SoH notification is not available for counterparty users | AT |
| 137898 | ECMS.Application.Corporate Actions | [APPR] Event with a blocked for Critical Update is displayed in all tenants | IT |
| 137959 | ECMS.Application.Corporate Actions | There is a cap of 100 CA client cash payments | IT |
| 138237 | ECMS.Application.Operational day | [APPR] [Operational Day]: 22/07/2024 EOD stuck nothing can be execute | Inc |
| 138240 | ECMS.Application.Operational day | Task Revaluation failed due to three tenants error MEgalend0100 | Inc |
| 138245 | ECMS.Application.Standing Facilities | [APPR] AML remains in status “closed – waiting for payment confirmation” even though pacs.002 was received from CLM | DE |
| 138262 | ECMS.Application.Pool Position and pool projection | [APPR] Generation Date (11/07/2024) and Execution Date (01/07/2024) seem to be wrong | SI |
| 138391 | ECMS.Technical.Other | Missing buttons in Search Result : Concentration Limit Check Report list screen: “Export Report Csv”, “Export Report Excel”, “Export Report Xml” | DE |
| 138393 | ECMS.Application.Access Rights | User with NCB TPA RD EXE role has no reprocess button for colr.020 message | LU |
| 138428 | ECMS.Application.U2A screens | [APPR] Checking interest movements behind the NTP1 event “global interest” not possible | DE |
| 138429 | ECMS.Technical.Application | [EAC] ECMS Logo – VERMEG advertisement opens in a separate tab when clicking onto the logo | ECB |
| 138431 | ECMS.Application.Pool Position and pool projection | [APPR] [Pool Position and Pool Projection]: The colr.016 generated via message subscription reports the EoD positions | DE |
| 138523 | ECMS.Application.Access Rights | [APPR] Make screen regenerate pacs010-pacs009 for Payment Confirmation available to NCBs | DE |
| 138529 | ECMS.Application.Corporate Actions | [EAC] CA TEND calculated market entitlement of the rejected instruction | SI |
| 138575 | ECMS.Technical.Other | [APPR] Generation and sending of statements of holdings failed for FRCB tenant | OT |
| 138581 | ECMS.Application.Billing | [EAC]Billing Report duplicated lines // missing details | DE |
| 138583 | ECMS.Application.Corporate Actions | [APPR]CA Payment Report - Search screen enhancements | DE |
| 138623 | ECMS.Application.Corporate Actions | Camt.054 received at the same time not reconciled against seev.036 | NL |
| 138837 | ECMS.Application.OMO | DTT - FTD - Missing the preparation of the interest payment at maturity | DE |
| 129746 | [ECMS.Application.A2A interface](javascript:showDetailWithPersid(%22pcat:402006%22)) | Instruction ID containing double quote " is accepted .CC file failed with error traced. This may need change of the CC file schema to align with Target services pattern | ECB |
| 135595 | [ECMS.Application.Global credit and collateral position](javascript:showDetailWithPersid(%22pcat:402016%22)) | [APPR] Credit freezing decrease not correctly applied for FR40031 | FR |

# Additional Information

The regression risk for this release is considered low according to regression tests performed in IAC and the analysis done by the support teams. See the section restriction 3.4.2 for more details.

## XSD version

The following principles and rules referring XSD versions apply:

|  |  |  |
| --- | --- | --- |
|  | **Message Version** | **Additionally available Message Version** |
| **Software Version** | ECMS UDFS v 1.2  Binding versions published 31/01/2023  28/05/2023 | n/a |
| **MyStandards** | ECMS UDFS v 1.2  Binding versions published 31/01/2023  28/05/2023 | n/a |

Links to MyStandards message usage guidelines are available under the dedicated section in 3.2.

## Message usage guidelines

| **Message Idr** | **Message Name** | **MyStandards Link** |
| --- | --- | --- |
| admi.005.001.01 | ReportQueryRequest | <https://www2.swift.com/mystandards/#/mp/mx/_cpPN-54eEe2_HrtFlPkgmg/_cpPN_J4eEe2_HrtFlPkgmg/!content> |
| admi.007.001.01 | ReceiptAcknowledgement | https://www2.swift.com/mystandards/#/mp/mx/\_cpPN-54eEe2\_HrtFlPkgmg/\_cpPN\_Z4eEe2\_HrtFlPkgmg/!content |
| camt.004.001.08 | Return Account (AML) | https://www2.swift.com/mystandards/#/mp/mx/\_JqeaEGV0EeiVZ9TevhqGpw/\_PuQDMw4TEeuC29xszeiKFA!content |
| camt.011.001.07 | Modify Limit (update Credit Line) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVm8BEe2mUZI\_ykUOqg/!content |
| camt.019.001.07 | Return Business Day Information | https://www2.swift.com/mystandards/#/mp/mx/\_-F77ALUMEem3D\_kns4F02w/\_QCsyITMTEeupe-EX861w5Q!content |
| camt.025.001.05 | Receipt (Status on Credit Freezing Update, Modify Limit, Externally Managed Collateral) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUm8BEe2mUZI\_ykUOqg/!content |
| camt.029.001.09 | Resolution of Investigation (Status on Cancellation of Payment) | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyU28BEe2mUZI\_ykUOqg/!content |
| camt.036.001.05 | DebitAuthorisationResponse | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyUW8BEe2mUZI\_ykUOqg/!content |
| camt.050.001.05 | Providing Liquidity to ECONS II | <https://www2.swift.com/mystandards/#/mp/mx/_CvIYkGflEeiHpY3ZWojbGQ/_6CqRoR2UEem4Eo9C5uuzUw!content> |
| camt.054.001.08 | BankToCustomerDebitCreditNotification |  |
| camt.056.001.08 | Payment Cancellation Request | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_qCKyVG8BEe2mUZI\_ykUOqg/!content |
| camt.077.001.01 | Billing Report | https://www2.swift.com/mystandards/#/mp/mx/\_qCKyUG8BEe2mUZI\_ykUOqg/\_SJB9gG8CEe2EhuLuaqiVkw/!content |
| camt.998.001.03 | Credit Freezing Update | https://www2.swift.com/mystandards/#/mp/mx/\_e574t54zEe2\_HrtFlPkgmg/\_e574uJ4zEe2\_HrtFlPkgmg/!content |
| camt.998.001.03 | Modify Credit Line | https://www2.swift.com/mystandards/#/mp/mx/\_Q8zp0LKEEeiksOjtS2t1cA/\_WQ3kcbKEEeiksOjtS2t1cA!content |
| colr.003.001.04 | Margin Call Request | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllp4zEe23Lve-6NzfEg/!content |
| colr.016.001.04 | Pool Position Report | https://www2.swift.com/mystandards/#/mp/mx/\_LhPllJ4zEe23Lve-6NzfEg/\_LhPllZ4zEe23Lve-6NzfEg/!content |
| colr.019.001.01 | TripartyCollateralTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEZ4ZEe2\_HrtFlPkgmg!content |
| colr.020.001.01 | TripartyCollateralStatusAndProcessingAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PEp4ZEe2\_HrtFlPkgmg!content |
| colr.021.001.01 | TripartyCollateralAllegementNotification | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PE54ZEe2\_HrtFlPkgmg!content |
| colr.022.001.01 | TripartyCollateralAndExposureReport | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFJ4ZEe2\_HrtFlPkgmg!content |
| colr.024.001.01 | TripartyCollateralAllegementNotificationCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFZ4ZEe2\_HrtFlPkgmg!content |
| head.001.001.01 | BusinessApplicationHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GJ4bEe23Lve-6NzfEg/!content |
| head.002.001.01 | BusinessFileHeader | https://www2.swift.com/mystandards/#/mp/mx/\_V7r4F54bEe23Lve-6NzfEg/\_V7r4GZ4bEe23Lve-6NzfEg/!content |
| pacs.002.001.10 | Payment Status Report | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemY54gEe23Lve-6NzfEg/!content |
| pacs.009.001.08 | Financial Institution Credit Transfer | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYp4gEe23Lve-6NzfEg/!content |
| pacs.010.001.03 | Financial Institution Direct Debit | https://www2.swift.com/mystandards/#/mp/mx/\_7qemYJ4gEe23Lve-6NzfEg/\_7qemYZ4gEe23Lve-6NzfEg/!content |
| reda.024.001.01 | CollateralValueCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_OlkM4JB1Eeul5tJbTfO5mw!content |
| reda.025.001.01 | EligibleSecuritiesCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_547XsaytEeu5TKv36vD2Ig!content |
| reda.027.001.01 | CloseLinkCreationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_cipy0JB1Eeul5tJbTfO5mw!content |
| reda.028.001.01 | CollateralDataStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_6mVa0ayuEeu5TKv36vD2Ig!content |
| reda.074.001.01 | TripartyCollateralUnilateralRemovalRequest | https://www2.swift.com/mystandards/#/mp/mx/\_To6PEJ4ZEe2\_HrtFlPkgmg/\_To6PFp4ZEe2\_HrtFlPkgmg!content |
| reda.075.001.01 | EligibleSecuritiesDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_4F994JB1Eeul5tJbTfO5mw!content |
| reda.077.001.01 | CloseLinkDeletionRequest | https://www2.swift.com/mystandards/#/mp/mx/\_h9GqUJBqEeul5tJbTfO5mw/\_Yze88JB2Eeul5tJbTfO5mw!content |
| seev.001.001.11 | MeetingNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_d4yNQPcjEe6Xoe\_xKtvjoQ!content |
| seev.031.001.14 [Binding] | CorporateActionNotification | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_\_lyv4PciEe6Xoe\_xKtvjoQ!content |
| seev.032.001.08 [Binding] | CorporateActionEventProcessingStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasQ\_5GEe2Isabat7xeaQ!content |
| seev.033.001.12 [Binding] | CorporateActionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWasRP5GEe2Isabat7xeaQ!content |
| seev.034.001.14 [Binding] | CorporateActionInstructionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_PQxEIB2oEe-1O\_AyFpsc3Q!content |
| seev.035.001.15 [Binding] | CorporateActionMovementPreliminaryAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_k6foMPvTEe6YEdYhorsJ1Q!content |
| seev.036.001.15 [Binding] | CorporateActionMovementConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_7TMTEPvUEe6Xoe\_xKtvjoQ!content |
| seev.037.001.15 [Binding] | CorporateActionMovementReversalAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_QRROsPcjEe6Xoe\_xKtvjoQ!content |
| seev.039.001.12 [Binding] | CorporateActionCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTUv5GEe2Isabat7xeaQ!content |
| seev.040.001.12 [Binding] | CorporateActionInstructionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTU\_5GEe2Isabat7xeaQ!content |
| seev.041.001.13 [Binding] | CorporateActionInstructionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVP5GEe2Isabat7xeaQ!content |
| seev.042.001.12 [Binding] | CorporateActionInstructionStatementReport | https://www2.swift.com/mystandards/#/mp/mx/\_BZ3LAPciEe6RPaUhR4Kiuw/\_VygSYPv0Ee6YEdYhorsJ1Q!content |
| seev.044.001.12 | CorporateActionMovementPreliminaryAdviceCancellationAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_fWasQP5GEe2Isabat7xeaQ/\_fWbTVv5GEe2Isabat7xeaQ!content |
| semt.002.001.10 [Binding] | SecuritiesBalanceCustodyReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYeVBEeuhaqnPYdiQhw!content |
| semt.017.001.09 [Binding] | SecuritiesTransactionPostingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZY-VBEeuhaqnPYdiQhw!content |
| semt.018.001.10 [Binding] | SecuritiesTransactionPendingReport | https://www2.swift.com/mystandards/#/mp/mx/\_JbdZYOVBEeuhaqnPYdiQhw/\_JbdZYuVBEeuhaqnPYdiQhw!content |
| sese.020.001.06 | SecuritiesTransactionCancellationRequest | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIoDKEeu33vEL3nRjtw!content |
| sese.023.001.09 | SecuritiesSettlementTransactionInstruction | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIYDKEeu33vEL3nRjtw!content |
| sese.024.001.10 | SecuritiesSettlementTransactionStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEYDKEeu33vEL3nRjtw!content |
| sese.025.001.09 | SecuritiesSettlementTransactionConfirmation | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwLEoDKEeu33vEL3nRjtw!content |
| sese.027.001.05 | SecuritiesTransactionCancellationRequestStatusAdvice | https://www2.swift.com/mystandards/#/mp/mx/\_bqwLEIDKEeu33vEL3nRjtw/\_bqwyIIDKEeu33vEL3nRjtw!content |
| sese.032.001.09 | SecuritiesSettlementTransactionGenerationNotification |  |

## Non-iso files

| **Schema file** | **Schema file version** |
| --- | --- |
| Credit Claim file | Credit\_Claims\_file\_Schema\_20240619.xsd |
| Credit Claim Processing report file | CC\_Processing\_Report\_file\_Schema\_20230417.xsd |

- Message Pagination implemented for CC file

## Restrictions

### Restrictions coming from previous releases

* OMO:
* collateral reservation / collateral release of OMO in currency based on currency table is not fully stabilized
* If a CLM rejection is received on the maturity date, the system updates correctly the status of OMO and the maturity date (+1 BD). However, interest is not calculated correctly for this new maturity date, preventing the OMO from maturing.
* Export of ECMS U2A reports is working as dessigned with restriction on extraction in PDF format – pending ECMS-WG validation of fields
* Migration – Issues following the migration of CC Cross Border: Based of the Country code of the debtor instead of the governing law
* Interest rate movements created from the settlement date until the current business date
* Multipooling:
  + The transfer of CC and MA is not working properly

### New restrictions introduced with this Release

* + None

## Corporate Action Events

The below table provides the status details on of each Corporate Action Event:

| **CA Event** | | | **Impacted flows per Event** | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Notification** | **Instruct** | **Advise** | **Confirm** | **Reverse** |
| **CA Event ID** | **CA Event name** | **Option** |
| ACTV | Trading Status: Active | MAND-N/A | X |  |  |  |  |
| BIDS | Repurchase Offer/Issuer Bid/Reverse Rights | VOLU-CASH,NOAC,CTEN | X | X | X | X | X |
| BPUT | Put Redemption | VOLU-CASH, NOAC | X | X | X | X | X |
| BRUP | Bankruptcy | MAND-N/A | X |  |  |  |  |
| CAPI | Capitalisation | MAND-N/A | X |  |  |  |  |
| CERT | Non-US TEFRA D Certification | CHOS-QINV,NOQU | X | X |  |  |  |
| CHAN | Change | MAND-N/A,SECU | X |  | X | X | X |
| CONS | Consent | VOLU-CONN,CONY,ABST,NOAC | X | X | X | X | X |
| CONV | Conversion | MAND-SECU, | X |  | X | X | X |
| CONV | Conversion | VOLU-CASE, CASH,SECU, NOAC | X | X | X | X | X |
| CREV | Credit Event | MAND-N/A | X |  |  |  |  |
| DFLT | Bond Default | MAND- N/A | X |  |  |  |  |
| DLST | Trading Status: Delisted | MAND- N/A | X |  |  |  |  |
| DRAW | Drawing | MAND-CASH | X |  | X | X | X |
| DSCL | Disclosure | MAND-CONY | X |  |  |  |  |
| DSCL | Disclosure | VOLU-CONY, CONN, NOAC | X | X | X | X | X |
| DTCH | Dutch Auction | VOLU-CASH, NOAC | X | X | X | X | X |
| EXOF | Exchange | VOLU-SECU,CASH, CASE,CEXC,NOAC | X | X | X | X | X |
| EXOF | Exchange | MAND-SECU | X |  | X | X | X |
| EXOF | Exchange | MAND-CASH | X |  | X | X | X |
| EXOF | Exchange | MAND-CASE | X |  | X | X | X |
| EXOF | Exchange | CHOS-SECU,CASH,CASE | X | X | X | X | X |
| EXTM | Maturity Extension | MAND-N/A, SECU | X |  | X | X | X |
| EXTM | Maturity Extension | CHOS-SECU,MPUT | X | X | X | X | X |
| INCR | Increase in Value | MAND-N/A | X |  |  |  | X |
| INFO | Information | MAND-N/A | X |  |  |  |  |
| INTR | Interest Payment | MAND-CASH | X | X | X | X | X |
| INTR | Interest Payment | CHOS-CASH | X | X | X | X | X |
| LIQU | Liquidation Payment | MAND-CASH | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-SECU | X |  | X | X | X |
| LIQU | Liquidation Payment | MAND-CASE | X |  | X | X | X |
| LIQU | Liquidation Payment | CHOS-CASH,SECU, CASE | X | X | X | X | X |
| MCAL | Full Call/Early Redemption | MAND-CASH | X |  | X | X | X |
| PARI | Pari-Passu | MAND-SECU | X |  | X | X | X |
| PCAL | Partial Redemption Without Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| PINK | Payment in Kind | MAND- OTHR, SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-SECU | X |  | X | X | X |
| PLAC | Place of Incorporation | MAND-N/A | X |  |  |  |  |
| PPMT | Instalment Call | MAND-SECU | X | X | X | X | X |
| PPMT | Instalment Call | CHOS-SECU,LAPS | X | X | X | X | X |
| PRED | Partial Redemption With Pool Factor Reduction | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | MAND-CASH | X |  | X | X | X |
| REDM | Final Maturity | CHOS-CASH | X | X | X | X | X |
| REDO | Redenomination | MAND-SECU | X |  | X | X | X |
| REDO | Redenomination | MAND-N/A |  |  |  |  |  |
| REMK | Remarketing Agreement | CHOS-SECU,CASH | X | X | X | X | X |
| RHDI | Intermediate Securities Distribution | MAND-SECU | X |  | X | X | X |
| SUSP | Trading Status: Suspended | MAND-N/A | X |  |  |  |  |
| TEND | Tender/Acquisition/Takeover/Purchase Offer | VOLU-SECU,CASE, CASH,NOAC | X | X | X | X | X |
| WRTH | Worthless | MAND-LAPS | X |  | X | X | X |
| TREC | Tax Reclaim | VOLU-CASH, NOAC | X |  | X | X | X |
| WTRC | Withholding Tax Relief Certification | VOLU-BOBD, NOAC | x | x |  |  |  |